

RAJIV KHOSLA & ASSOCIATES

M.Com FCS

PRACTISING COMPANY SECRETARY

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005
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To,

The Bombay Stock Exchange Limited, Mumbai
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

CERTIFICATE FOR THE QUARTER ENDED ON 31.12.2016

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201010 (U.P) for the Quarter Ended on 31ST December 2016.

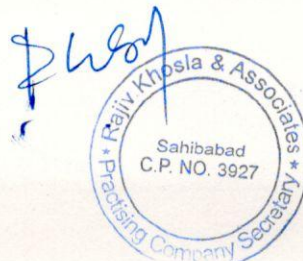
1. **For Quarter Ended** : 31ST December 2016
2. **ISIN** : INE093B01015
3. **Face Value** : RS. 10/- EACH
4. **Name of the Company** : ALPS INDUSTRIES LIMITED
5. **Registered Office Address** : 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
6. **Correspondence Address** : 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
7. **Telephone & Fax Nos.** : PHONE : 0120-4161700
FAX: 0120-2896041
8. **Email address** : ajaygupta@alpsindustries.com
9. **Names of Stock Exchanges where the Company's securities are listed** : Equity shares are listed at NSE & BSE.
10. **Issued Capital - Equity shares**
11. **Listed Capital on NSE & BSE & (Exchange - wise)**

Numbers of Share	% of Total Issued Cap.
39114100	100.00 %
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12. **Held in Dematerialised form in NSDL** : 27467411 70.22
13. **Held in Dematerialised form in CDSL** : 11550081 29.53
14. **Physical** : 96608 0.25
15. **Total No. of Shares (12+13+14)** : 39114100 100.00%
16. **Reasons for difference if any, between (10&11), (10&15), (11&15)** : NIL
17. **Certifying the details of changes in equity share capital during the quarter consideration as per Table below :**

Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

**** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)



18. **Register of Members in updated (Yes/No)** : YES
If not, updated upto which dated :
19. Reference of previous quarter with regards to excess dematerialized shares, if any. NIL
20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ? N.A.
21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	N.A	N.A	N.A
Confirmed after 21 Days (CSDL)	N.A	N.A	N.A
Pending for more than 21 days	N.A	N.A	N.A

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** : MR.AJAY GUPTA
PHONE : 0120-4161716
FAX: 0120-2896041
23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** : M/S. RAJIV KHOSLA & ASSOCIATES
PRACTISING COMPANY SECRETARY
PHONE : 9811583699
C.P. NO.- 3927
24. **Appointment of common agency for share registry work If yes (name & address)** ALANKIT ASSIGNMENTS LIMITED
205-208, ANARKALI MARKET, JHANDEWALAN
EXTN., NEW DELHI-110055
25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE company changed its name etc.) --
- The Draft Rehabilitation Scheme was under process of approval by BIFR. Due to enactment of Sick Industrial Companies (Special Provisions) Repeal Act, 2003 (1 of 2004) w.e.f. 1.12.2016, the reference of company with BIFR under SICA has stand abated.
 - The equity shares of the company are listed at National Stock Exchange India Limited (NSE) & Bombay Stock Exchange Limited under Scrip Code 530715/ALPSIND. As per the resolution of Board of Directors of the company at their meeting held on May 30 2016, pursuant to Regulations 6 & 7 of the SEBI (Delisting of Equity Shares) Regulation 2009, as amended from time to time, company has submitted the application for Voluntary Delisting of 39114100 Equity Shares from Bombay Stock Exchange (BSE), on 13.06.2016, which is under process. The company has sent various reminders to BSE to process the delisting urgently.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)
C.P. NO. 3927

PLACE: GHAZIABAD
DATE: JANUARY 9, 2017

