

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005

Mobile : 9811583699 Telefax : 95120-2610974

E-mail khosla.rk1972@yahoo.co.in • khosla.rajivfcs@gmail.com

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE HALF YEAR ENDED SEPTEMBER 30, 2019.

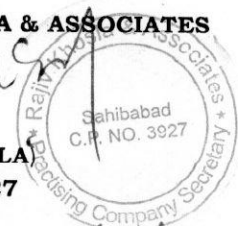
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED (CIN L54409UP1972PLC003544)** having its Registered & Corporate office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) maintained by Registrar & Transfer Agent **M/s. ALANKIT ASSIGNMENTS LIMITED (CIN U74210DL1991PLC042569)**, 205-208, Anarkali Market, Jhandewalan Extension, New Delhi-110055 pertaining to transfer of equity shares of the company for the period from **April 1, 2019 to September 30, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015 entered into by, **ALPS INDUSTRIES LIMITED** with Bombay Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during half year ended on **September 30, 2019:**

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **April 1, 2019 to September 30, 2019** as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the half year ended on 30.09.2019.

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)  
C.P. NO. -3927



PLACE: GHAZIABAD  
DATE : OCTOBER 11, 2019

UP IN: F005197A000061968

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A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from **April 1, 2019 to September 30, 2019** as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

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