

# RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005  
Mobile : 9811583699 Telefax : 95120-2610974  
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To,

The Bombay Stock Exchange Limited, Mumbai  
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

## REPORT FOR THE QUARTER ENDED ON 31.12.2020

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) for the Quarter Ended on 31.12.2020.

- |     |  |                         |   |
|-----|--|-------------------------|---|
| 1.  | <b>For Quarter Ended</b>   | :                       | 31.12.2020  |
| 2.  | <b>ISIN</b>  | :                       | INE093B01015  |
| 3.  | <b>Face Value</b>  | :                       | RS. 10/- EACH   |
| 4.  | <b>Name of the Company</b>   | :                       | ALPS INDUSTRIES LIMITED   |
| 5.  | <b>Registered Office Address</b>   | :                       | 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P) |
| 6.  | <b>Correspondence Address</b>  | :                       | 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P) |
| 7.  | <b>Telephone &amp; Fax Nos.</b>  | :                       | PHONE : 0120-4161700<br>FAX: 0120-2896041                             |
| 8.  | <b>Email address</b>   | :                       | ajaygupta@alpsindustries.com  |
| 9.  | <b>Names of Stock Exchanges where the Company's securities are listed</b>  | :                       | Equity shares are listed at NSE & BSE.                                |
| 10. | <b>Issued Capital - Equity shares</b>  |                         |   |
| 11. | <b>Listed Capital on NSE &amp; BSE &amp; (Exchange - wise)</b>   |                         |   |
|     |  | <b>Number of Shares</b> | <b>% of Total Issued Cap.</b>   |
|     |  | 39114100                | 100.00 %  |
|     |  | 39114100                | 100.00 %  |
| 12. | <b>Held in Dematerialised form in NSDL</b>   | :                       | 29741452 76.04  |
| 13. | <b>Held in Dematerialised form in CDSL</b>   | :                       | 9301094 23.78   |
| 14. | <b>Physical</b>  | :                       | 71554 0.18  |
| 15. | <b>Total No. of Shares (12+13+14)</b>  | :                       | <b>39114100 100%</b>  |
| 16. | <b>Reasons for difference if any, between (10&amp;11), (10&amp;15), (11&amp;15)</b>                                    | :                       | NIL   |
| 17. | <b>Certifying the details of changes in equity share capital during the quarter consideration as per Table below :</b> |                         |   |



Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

\*\*\* Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. **Register of Members in updated (Yes/No)** :   
If not, updated upto which dated

19. Reference of previous quarter with regards to excess dematerialized shares, if any.

20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ?

21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	NIL	NIL	N.A
Confirmed after 21 Days (CSDL)	NIL	NIL	N.A
Pending for more than 21 days	NIL	NIL	N.A

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** :   
PHONE : 0120-4161716  
FAX: 0120-2896041

23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** :   
PRACTICING COMPANY  
SECRETARY  
B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad-201005  
PHONE : 9811583699  
C.P. NO.- 3927

24. **Appointment of common agency for share: registry work, If yes (name & address)** :   
1E/13, ALANKIT HEIGHTS,  
JHANDEWALAN EXTN., NEW  
DELHI-110055



25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.) --

1. Company has submitted with Bombay Stock Exchange Limited an application dated 9.6.2016 for Voluntary Delisting of its 39114100 Equity Shares from their platform which is still pending with them. The company has also filed the Writ Petition before Allahabad High Court for necessary directions in this regard which is pending adjudication.

FOR **RAJIV KHOSLA & ASSOCIATES**



**(RAJIV KHOSLA)**  
**C.P. NO. 3927**

**PLACE: GHAZIABAD**  
**DATE: JANUARY 07, 2021**

UDIN: F005197B00/883251