

RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005
Mobile : 9811583699 Telefax : 95120-2610974
E-mail khosla.rk1972@yahoo.co.in • khosla.rajivfcs@gmail.com

The Board of Directors
Alps Industries Limited
57/2, Site – IV, Industrial Area,
Sahibabad, Ghaziabad – 201010 (U.P.)

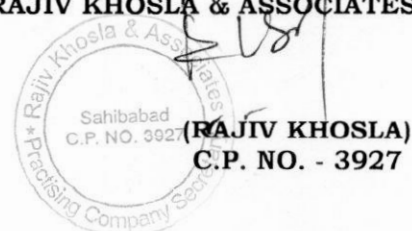
RECONCILIATION OF SHARE CAPITAL AUDIT REPORT FOR THE QUARTER ENDED ON 31.03.2020.

We have examined the Register of Members, Beneficiary details furnished by the Depositories and other records/documents maintained by M/s. Alps Industries Limited (hereinafter referred to as "The Company") and its Registrars and Share Transfer Agent M/s. Alankit Assignments Limited for issuing this report, in accordance with the amended circular No. CIR/MRD/DP/30/2010 dated September 6, 2010 issued by Securities and Exchange Board of India and in term of regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018.

We enclose herewith the information required by the above circular in the Annexure – 1.

FOR **RAJIV KHOSLA & ASSOCIATES**

**PLACE: GHAZIABAD
DATE : MAY 12, 2020**



To,

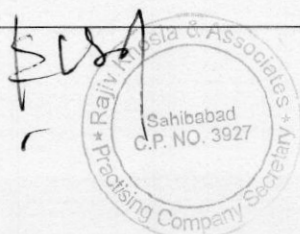
The Bombay Stock Exchange Limited, Mumbai
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

REPORT FOR THE QUARTER ENDED ON 31.03.2020

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) for the Quarter Ended on 31.03.2020.

1.	For Quarter Ended	:	31.03.2020
2.	ISIN	:	INE093B01015
3.	Face Value	:	RS. 10/- EACH
4.	Name of the Company	:	ALPS INDUSTRIES LIMITED
5.	Registered Office Address	:	57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
6.	Correspondence Address	:	57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
7.	Telephone & Fax Nos.	:	PHONE : 0120-4161700 FAX: 0120-2896041
8.	Email address	:	ajaygupta@alpsindustries.com
9.	Names of Stock Exchanges where the Company's securities are listed	:	Equity shares are listed at NSE & BSE.
10.	Issued Capital - Equity shares		
11.	Listed Capital on NSE & BSE & (Exchange - wise)		
		Number of Shares	% of Total Issued Cap.
		39114100	100.00 %
		39114100	100.00 %
12.	Held in Dematerialised form in NSDL	:	29375464 75.10%
13.	Held in Dematerialised form in CDSL	:	9665782 24.71%
14.	Physical	:	72854 0.19%
15.	Total No. of Shares (12+13+14)	:	39114100 100%
16.	Reasons for difference if any, between (10&11), (10&15), (11&15)	:	NIL
17.	Certifying the details of changes in equity share capital during the quarter consideration as per Table below :		



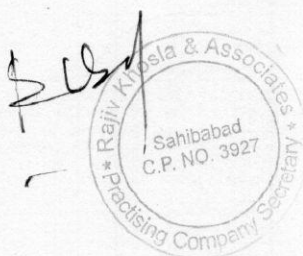
Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. **Register of Members in updated (Yes/No)** :
If not, updated upto which dated :
19. Reference of previous quarter with regards to excess dematerialized shares, if any.
20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ?
21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	N.A.	N.A.	N.A.
Confirmed after 21 Days (CSDL)	N.A.	N.A.	N.A.
Pending for more than 21 days	N.A.	N.A.	N.A.

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** : **MR. AJAY GUPTA**
PHONE : 0120-4161716
FAX: 0120-2896041
23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** : **M/S. RAJIV KHOSLA & ASSOCIATES**
PRACTICING COMPANY
SECRETARY
B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad-201005
PHONE : 9811583699
C.P. NO.- 3927
24. **Appointment of common agency for share registry work**
If yes (name & address) : **ALANKIT ASSIGNMENTS LIMITED**
1E/13, ALANKIT HEIGHTS,
JHANDEWALAN EXTN., NEW
DELHI-110055



25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.) --

1. The equity shares of the company are listed at National Stock Exchange India Limited (NSE) under Scrip Code ALPSINDUS-EQ & Bombay Stock Exchange Limited (BSE) under Scrip Code 530715/ALPSIND. In terms of the resolution passed by the Board of Directors of the company at their meeting held on May 30, 2016, and in pursuant to Regulations 6 & 7 of the SEBI (Delisting of Equity Shares) Regulations, 2009, as amended from time to time, company has submitted the application for Voluntary Delisting of its 39114100 Equity Shares from Bombay Stock Exchange Limited which is still pending with Stock Exchange. The company has filled the Writ Petition before Allahabad High Court for necessary directions in this regard which is pending adjudication. The company is not a defaulter towards BSE Limited. The BSE vide their Letter dated 04.12.2019 has intimated that in terms of SEBI Circular Ref. No. LIST/COMP/OPS/16/2019-2020 Dated June 11, 2019 w.e.f. December 12, 2019 the promoters and promoters group demat accounts have been frozen due to non-payment of ALF.

However in the interest of investors, the company has paid all its dues of Annual Listing Fees to BSE on 27.02.2020 under protest. Presently all the accounts have been defrozen and normalize.

PLACE: GHAZIABAD
DATE: MAY 12, 2020

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)
C.P. NO. 3927

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