

RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005

Mobile : 9811583699 Telefax : 95120-2610974

E-mail khosla.rk1972@yahoo.co.in • khosla.rajivfcs@gmail.com

To,

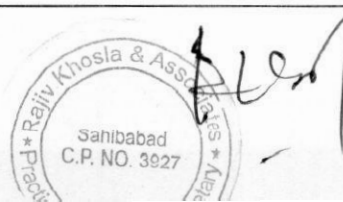
The Bombay Stock Exchange Limited, Mumbai
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

CERTIFICATE FOR THE QUARTER ENDED ON 30.09.2019

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) for the Quarter Ended on 30.09.2019.

- | 1. | For Quarter Ended | : | 30.09.2019 | | | | | | |
|------------------|--|---|---|------------------|------------------------|----------|----------|----------|----------|
| 2. | ISIN | : | INE093B01015 | | | | | | |
| 3. | Face Value | : | RS. 10/- EACH | | | | | | |
| 4. | Name of the Company | : | ALPS INDUSTRIES LIMITED | | | | | | |
| 5. | Registered Office Address | : | 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P) | | | | | | |
| 6. | Correspondence Address | : | 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P) | | | | | | |
| 7. | Telephone & Fax Nos. | : | PHONE : 0120-4161700
FAX: 0120-2896041 | | | | | | |
| 8. | Email address | : | ajaygupta@alpsindustries.com | | | | | | |
| 9. | Names of Stock Exchanges where the Company's securities are listed | : | Equity shares are listed at NSE & BSE. | | | | | | |
| 10. | Issued Capital - Equity shares | | | | | | | | |
| 11. | Listed Capital on NSE & BSE & (Exchange - wise) | | | | | | | | |
| | | | <table border="1"><thead><tr><th>Number of Shares</th><th>% of Total Issued Cap.</th></tr></thead><tbody><tr><td>39114100</td><td>100.00 %</td></tr><tr><td>39114100</td><td>100.00 %</td></tr></tbody></table> | Number of Shares | % of Total Issued Cap. | 39114100 | 100.00 % | 39114100 | 100.00 % |
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| 39114100 | 100.00 % | | | | | | | | |
| 39114100 | 100.00 % | | | | | | | | |
| 12. | Held in Dematerialised form in NSDL | : | 29815085 76.22 | | | | | | |
| 13. | Held in Dematerialised form in CDSL | : | 9225761 23.59 | | | | | | |
| 14. | Physical | : | 73254 0.19% | | | | | | |
| 15. | Total No. of Shares (12+13+14) | : | 39114100 100% | | | | | | |
| 16. | Reasons for difference if any, between (10&11), (10&15), (11&15) | : | NIL | | | | | | |
| 17. | Certifying the details of changes in equity share capital during the quarter consideration as per Table below : | | | | | | | | |



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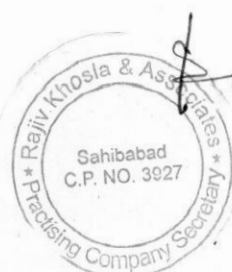
Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.

*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. **Register of Members in updated (Yes/No)** : YES
If not, updated upto which dated :
19. Reference of previous quarter with regards to excess dematerialized shares, if any. NIL
20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ? N.A.
21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	N.A.	N.A.	N.A.
Confirmed after 21 Days (CSDL)	N.A.	N.A.	N.A.
Pending for more than 21 days	N.A.	N.A.	N.A.

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** : MR. AJAY GUPTA
PHONE : 0120-4161716
FAX: 0120-2896041
23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** : M/S. RAJIV KHOSLA & ASSOCIATES
PRACTICING COMPANY
SECRETARY
B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad-201005
PHONE : 9811583699
C.P. NO.- 3927
24. **Appointment of common agency for share registry work**
If yes (name & address) ALANKIT ASSIGNMENTS LIMITED
1E/13, ALANKIT HEIGHTS,
JHANDEWALAN EXTN., NEW
DELHI-110055



25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.) --

1. The equity shares of the company are listed at National Stock Exchange India Limited (NSE) under Scrip Code ALPSINDUS-EQ & Bombay Stock Exchange Limited (BSE) under Scrip Code 530715/ALPSIND. In terms of the resolution passed by the Board of Directors of the company at their meeting held on May 30 2016, and in pursuant to Regulations 6 & 7 of the SEBI (Delisting of Equity Shares) Regulation 2009, as amended from time to time, company has submitted the application for Voluntary Delisting of its 39114100 Equity Shares from Bombay Stock Exchange Limited which is still pending with Stock Exchange. The company has filled the Writ Petition before Allahabad High Court for necessary directions in this regard which is pending adjudication

PLACE: GHAZIABAD
DATE: OCTOBER 11, 2019

FOR RAJIV KHOSLA & ASSOCIATES

(RAJIV KHOSLA)
C.P. NO. 3927

Sahibabad
C.P. NO. 3927

UDIN: F005197A 000061981