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Alps Industries Ltd.

ALPS/150/SE10/2017-18

Regd./Corp. Office :

57/2, Site-IV Industrial Area,
Sahibabad, Ghaziabad - 201 010
Uttar Pradesh (India)
Tel." +91-120-4161700 (60 Lines)
Tele Fax : +91-120-2895299, 2896041
E-mail : info@alpsindustries.com
CIN : L51109UP1972PLC003544
www.alpsindustries.com

October 11, 2017

The General Manager
Market Operations Deptt.,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor,
Plot No. C/1, G-Block, Bandra-Kurla Complex
Bandra (E), **Mumbai - 400 051**
Ph - 91-22-2659 8101 - 8114
Fax : 022 - 26598237/38/ 2659 8100
Email - cmlist@nse.co.in

Ms. Erika D'Sa, (Relationship Manager)
Corporate Relationship Deptt.,
Bombay Stock Exchange Limited,
1ST Floor New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, **Mumbai-400 001.**
Ph - 022-22728995
Fax: 022 - 22723121 /
3719/2037/2039/2041/2061
Email - intern.erika@bseindia.com ,
corp.relations@bseindia.com

SUB : INFORMATION REGULATION 31(1)(b) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) 2015 & SUBMISSION OF RECONCILIATION OF SHARE CAPITAL AUDIT CERTIFICATE FOR THE QUARTER ENDED ON 30TH SEPTEMBER 2017..

Dear Sir,

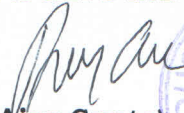
As required under the Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) 2015, & In reference to amended SEBI Circular No. CIR/MRD/DP/30/2010 dated September 6, 2010; we are enclosing herewith the Reconciliation of Share Capital Audit Certificate by the Practicing Company Secretary for the Quarter ended on 30th September 2017, we are enclosing herewith the following documents:-

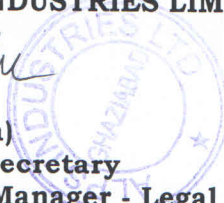
1. Shareholding Pattern alongwith details of Shareholding More Than One Percent of the issued capital of the company as on 30/09/2017.
2. Reconciliation Of Share Capital Audit certificate for the quarter ended on 30/09/2017.

We hope you will find the same in order.

Thanking you,

Yours faithfully,
For **ALPS INDUSTRIES LIMITED**


(Ajay Gupta)
Company Secretary
& General Manager - Legal
Encl. a/a.



RAJIV KHOSLA & ASSOCIATES

PRACTISING COMPANY SECRETARY

M.Com FCS

B-56, Shalimar Garden, Chhabra Colony, Shalimar Housing Complex, Sahibabad, U.P.-201005
Mobile : 9811583699 Telefax : 95120-2610974
E-mail : khosla.rk1972@yahoo.co.in • khosla.rajivfcs@gmail.com

To,

The Bombay Stock Exchange Limited, Mumbai
The National Stock Exchange of India Ltd., Mumbai

Dear Sir,

CERTIFICATE FOR THE QUARTER ENDED ON 30.09.2017

This is to certify that we have verified the records produced before us of M/s. Alps Industries Limited having its Registered & Corporate Office at 57/2, Site - IV, Industrial Area, Sahibabad, Ghaziabad - 201010 (U.P) for the Quarter Ended on 30.09.2017 .

1. **For Quarter Ended** : 30.09.2017
2. **ISIN** : INE093B01015
3. **Face Value** : RS. 10/- EACH
4. **Name of the Company** : ALPS INDUSTRIES LIMITED
5. **Registered Office Address** : 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
6. **Correspondence Address** : 57/2, SITE - IV, INDUSTRIAL AREA, SAHIBABAD, GHAZIABAD - 201010 (U.P)
7. **Telephone & Fax Nos.** : PHONE : 0120-4161700
FAX: 0120-2896041
8. **Email address** : ajaygupta@alpsindustries.com
9. **Names of Stock Exchanges where the Company's securities are listed** : Equity shares are listed at NSE & BSE.
10. **Issued Capital - Equity shares**
11. **Listed Capital on NSE & BSE & (Exchange - wise)**

Numbers of Share	% of Total Issued Cap.
39114100	100.00 %
39114100	100.00 %
12. **Held in Dematerialised form in NSDL** : 28047438 71.71
13. **Held in Dematerialised form in CDSL** : 10970954 28.05
14. **Physical** : 95708 0.25
15. **Total No. of Shares (12+13+14)** : **39114100** **100.00%**
16. **Reasons for difference if any, between (10&11), (10&15), (11&15)** : NIL
17. **Certifying the details of changes in equity share capital during the quarter consideration as per Table below :**



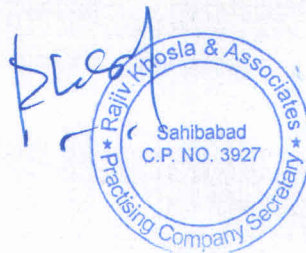
Particulars****	No. of shares	Applied/Not Applied for listing	Listed on Stock Exchanges	Whether intimate d to CDSL	Whether intimate d to NSDL	In-prin. appr. Pending for SE
N.A.	N.A.	N.A.	N.A	N.A.	N.A.	N.A.

*** Right, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)

18. **Register of Members in updated (Yes/No)** :
If not, updated upto which dated :
19. Reference of previous quarter with regards to excess dematerialized shares, if any.
20. Has the company resolved the matter mentioned in point no. 19 above in the current quarter ? If not, reason why ?
21. Mentioned the total no. of request, if any, confirmed after 21, days and the total no. of request pending beyond 21 days with the reasons for delay :

Total No. of demat request	No. of request	No. of shares	Reasons for delay
Confirmed after 21 Days (NSDL)	N.A	N.A	N.A
Confirmed after 21 Days (CSDL)	N.A	N.A	N.A
Pending for more than 21 days	N.A	N.A	N.A

22. **Name, Telephone & Fax No. of the Compliance Officer of the Co.** :
23. **Name, Address, Tel. & Fax No., Regn. No. of the CA/CS** :
24. **Appointment of common agency for share registry work**
If yes (name & address)



25. Any other detail that the CS may like to provide. (e.g. BIFR company, delisting from SE, company changed its name etc.) --

1. The equity shares of the company are listed at National Stock Exchange India Limited (NSE) under Scrip Code ALPSINDUS-EQ & Bombay Stock Exchange Limited (BSE) under Scrip Code 530715/ALPSIND. In terms of the resolution passed by the Board of Directors of the company at their meeting held on May 30' 2016, and in pursuant to Regulations 6 & 7 of the SEBI (Delisting of Equity Shares) Regulation 2009, as amended from time to time, company has submitted the application for Voluntary Delisting of its 39114100 Equity Shares from Bombay Stock Exchange Limited which is still pending with Stock Exchange.
2. Pursuant to the coming into force of the Sick Industrial Companies (Special Provisions) Repeal Act, 2003 (1 of 2004) w.e.f. 1.12.2016, the Hon'ble BIFR/AAIFR stand dissolved and the pending matters of the company before the Hon'ble BIFR/AAIFR stand abated. However the Company is envisaging to approach the Hon'ble NCLT for seeking the necessary resolution, in accordance with the provisions of Insolvency and Bankruptcy Code, 2016 (IBC).

FOR **RAJIV KHOSLA & ASSOCIATES**

(**RAJIV KHOSLA**)
C.P. NO. 3927

PLACE: **GHAZIABAD**
DATE : **OCTOBER 11, 2017**

