#### Alps Industries Limited REGD. / CORP. OFFICE

Plot No 15-B, G. T. Road Chaudhary Morh, Ghaziabad Uttar Pradesh - 201001

#### ALPS/12/SE12/2024-25

April 10, 2024

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Email - cmlist@nse.co.in

The Relationship Manager Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 corp.relations@bseindia.com

SUB: SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI REQUIREMENTS) DISCLOSURE AND OBLIGATIONS (LISTING REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024.

Dear Sir,

With reference to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Certificate regarding transfer/transmission of shares as required under the said regulation for the financial year ended on March 31, 2024 as received from Rajiv Khosla and Associates, Practicing Company Secretary.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For ALPS INDUSTRIES LIMITED

(Ajay Gupta)

Ajay Digitally signed by Ajay Gupta Date: 2024.04.10 17:22:12 +05'30'

**Company Secretary** 

& Asstt. Vice President - Legal

E-mail id:ajaygupta@alpsindustries.com

Mobile No.: +91-9871692058

Encl. a/a.

Contact: +91-9871692058 Email: ajaygupta@alpsindustries.com

Website: www.alpsindustries.com CIN: L51109UP1972PLC003544



# RAJIV KHOSLA & ASSOCIAT

(PRACTICING COMPANY SECRETARY) -

Rama Chandra Residency, Plot No.126, G-1, Ground Floor, Rajender Nagar Sector-5, Near Khaitan Public School Gate No. 2, Sahibabad, District Ghaziabad-201005, Uttar Pradesh Mobile: 9811583699 ● E-mail: khosla.rajivfcs@gmail.com, khosla.rajivcs@gmail.com, csrajivkhosla@gmail.com

#### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

### FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other L54409UP1972PLC003544) having its Registered & Corporate office at Plot No 15-B, G.T. Road Chaudhary Morh, Ghaziabad, Uttar Pradesh-201001 maintained by Registrar & Transfer Agent M/s. ALANKIT ASSIGNMENTS LIMITED (CIN: U74210DL1991PLC042569), Alankit House 4E/2 Jhandewalan Extn., New Delhi-110055, pertaining to transfer of equity shares of the company for the period from April 1, 2023 to March 31, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, ALPS INDUSTRIES LIMITED with Bombay Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during the financial year ended

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from April 1, 2023 to March 31, 2024 as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the year ended on 31.03.2024.

FOR RAJIV KHOSLA

(RAJIV KHOSLA C.P. NO. -3927

UDIN: F005/97 F0000

PLACE: GHAZIABAD DATE : April 09, 2024

#### Alps Industries Limited REGD. / CORP. OFFICE

Plot No 15-B, G. T. Road Chaudhary Morh, Ghaziabad Uttar Pradesh - 201001

ALPS/12/SE12/2024-25

April 10, 2024

The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph - 91-22-2659 8101 - 8114 Email - cmlist@nse.co.in

The Relationship Manager Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph - 022-22728995 corp.relations@bseindia.com

SUB: SUBMISSION OF CERTIFICATE UNDER REGULATION 40(10) OF THE SEBI REQUIREMENTS) DISCLOSURE AND OBLIGATIONS (LISTING REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024.

Dear Sir,

With reference to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Certificate regarding transfer/transmission of shares as required under the said regulation for the financial year ended on March 31, 2024 as received from Rajiv Khosla and Associates, Practicing Company Secretary.

This is for your kind information and record please.

Thanking you,

Yours faithfully,

For ALPS INDUSTRIES LIMITED

(Ajay Gupta)

Gupta Date:

**Company Secretary** 

& Asstt. Vice President - Legal

E-mail id:ajaygupta@alpsindustries.com

Mobile No.: +91-9871692058

Encl. a/a.

Contact: +91-9871692058 Email: ajaygupta@alpsindustries.com

Website: www.alpsindustries.com CIN: L51109UP1972PLC003544



## RAJIV KHOSLA & ASSOCIATES

M.COM., FCS

#### - (PRACTICING COMPANY SECRETARY) -

### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

#### FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALPS INDUSTRIES LIMITED** (CIN: L54409UP1972PLC003544) having its Registered & Corporate office at Plot No. 15-B, G.T. Road Chaudhary Morh, Ghaziabad, Uttar Pradesh-201001 maintained by Registrar & Transfer Agent M/s. **ALANKIT ASSIGNMENTS LIMITED** (CIN: U74210DL1991PLC042569), Alankit House 4E/2 Jhandewalan Extn., New Delhi-110055, pertaining to transfer of equity shares of the company for the period from **April 1**, **2023 to March 31**, **2024** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by, **ALPS INDUSTRIES LIMITED** with National Stock Exchange Limited, Mumbai and based on the information provided by the Company, I hereby certify that during the financial year ended on **March 31**, **2024**:

A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from *April 1, 2023 to March 31, 2024* as entered in the Memorandum of Transfers have been issued within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) There was no case for transfer, transmission, transposition, consolidation, duplicate and split of shares during the year ended on 31.03.2024.

FOR RAJIV KHOSLA & ASS

(RAJIV KHOSLA) C.P. NO. -3927

UDIN: FOO 5 97 F 6000 70218

PLACE: GHAZIABAD DATE: April 09, 2024