

1. Name of Listed Entity

: ALPS INDUSTRIES LIMITED

2. Quarter ending

: 30th September 2020

3. Scrip Code/Name of the Security : ALPSINDUS of NSE and 530715 of BSE /Equity Shares

Particulars	Promoter & Promoter Group	Public	Non Promoter Non Public
Whether the Listed Entity has issued any partly paid up shares?	No	No	No
Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
Whether the Listed Entity has any shares in locked-in?	No	No	No
Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	NA	NA
Whether the Listed Entity has issued any Differential Voting Rights?	No	No	No
Whether the Listed Entity has any significant beneficial owner ?	Yes	No	No

For ALPS INDUSTRIES LIMITED

(A PUNJAB)  
Company Secretary &  
General Manager-Legal



1. Name of Listed Entity : ALPS INDUSTRIES LIMITED  
 2. Quarter ending : 30th September 2020

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(a)	(b)	(a)	(b)	(XIII)	(XIV)
								No of Voting Rights				Total as a % of Total Voting Rights					
(A)	Promoter & Promoter Group	19	10586018	0	0	10586018	27.06	Class eg: X 10586018	0	10586018	27.06	0	0	4019018	37.97	10586018	
(B)	Public	19591	28528082	0	0	28528082	72.94	Class eg: Y 0	0	28528082	72.94	0	0	0	0	0	28456528
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	19610	39114100	0	0	39114100	100	39114100	0	39114100	100	0	0	4019018	37.97	39042546	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category and name of shareholder	PAN* (See note below)	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	
								No of Voting Rights			As a % of total Shares held	No. or otherwise encumbered	As a % of total Shares held	
								Class eg: X			(a)	(a)	(b)	
								Class eg: Y			(b)	(b)		
								Total	Total as a % of Total Voting Rights					
1 Indian														
a Individuals/Hindu undivided Family		8	2906028	0	0	2906028	7.43	0	0	7.43	0	1589028	15.01	2906028
K. K. AGARWAL (HUF)	AABHK7991K		117000	0	0	117000	0.3	0	0	0.3	0	0	0	117000
SANDEEP AGARWAL (HUF)	AADHS9672P		94380	0	0	94380	0.24	0	0	0.24	0	44380	0.419	94380
NIDHI AGARWAL	AAKPA2949J		216100	0	0	216100	0.55	0	0	0.55	0	16100	0.152	216100
K K AGARWAL	AAPPA5044B		1147460	0	0	1147460	2.93	0	0	2.93	0	647460	6.116	1147460
SANDEEP AGARWAL	ACEPA4737G		651510	0	0	651510	1.67	0	0	1.67	0	401510	3.793	651510
SANVOG AGARWAL	ACGPA7179K		248650	0	0	248650	0.64	0	0	0.64	0	248650	2.349	248650
ROHAN AGARWAL	AJUPA3170F		430128	0	0	430128	1.1	0	0	1.1	0	230128	2.174	430128
SUNANDINI AGARWAL	AUPA3196R		800	0	0	800	0	0	0	0	0	800	0.008	800
b Central Government/ State Government(s)														
c Financial Institutions/ Banks														
d Any Other (specify)		11	7679990	0	0	7679990	19.63	0	0	19.63	0	2429990	22.95	7679990
BODY CORPORATE														
CORONATION SPINNING INDIA PVT LTD	AAACCG8311R		900000	0	0	900000	2.3	0	0	2.3	0	300000	2.83	900000
CAREEN FINTEC (P) LTD	AAACCG8312N		295000	0	0	295000	0.75	0	0	0.75	0	45000	0.43	295000
PADAM PRECISION DIES AND COMPONENTS PVT LTD	AAACP4217H		1688200	0	0	1688200	4.32	0	0	4.32	0	188200	1.78	1688200
PREFECT FINMEN SERVICES (P) LTD	AAACP8958R		412800	0	0	412800	1.06	0	0	1.06	0	262800	2.48	412800
PEEK FINVEST (P) LTD	AAACP8959Q		342082	0	0	342082	0.87	0	0	0.87	0	342082	3.23	342082
ROSEATE FINVEST (P) LTD	AAACR7175G		382000	0	0	382000	0.98	0	0	0.98	0	382000	3.61	382000
PEEK TEXFAB LTD	AABCA6857F		100120	0	0	100120	0.26	0	0	0.26	0	120	0.00	100120
PACIFIC TEXMARK PRIVATE LIMITED	AABCAT511N		2835872	0	0	2835872	7.25	0	0	7.25	0	335872	3.17	2835872



ALPS PROCESSERS PRIVATE LIMITED	AABCAT612R	250116	0	0	250116	0.64	250116	0	0	250116	0.64	250116	0	0	250116	0.64	250116	0	0	250116	0.64	250116	0	0	250116	2.36	250116
SUPREME FINVEST (P) LTD	AACCS047N	290000	0	0	290000	0.74	290000	0	0	290000	0.74	290000	0	0	290000	0.74	290000	0	0	290000	1.32	290000	0	0	290000	1.32	290000
SAURABH FLORICULTURE (P) LTD	AACCS611R	183800	0	0	183800	0.47	183800	0	0	183800	0.47	183800	0	0	183800	0.47	183800	0	0	183800	1.74	183800	0	0	183800	1.74	183800
Sub-Total (A)(1)		19	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	37.97	10586018
2 Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)-(A)(2)		19	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	27.06	10586018	0	0	10586018	37.97	10586018



Table III - Statement showing shareholding pattern of the Public shareholder

Category and name of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								Class eg: X	Class eg: Y	Total			Total as a % of Total Voting Rights	(a)			(b)
1 Institutions																	
a Mutual Funds		3	300	0	0	300	0.001	300	0	0.001	0	0	0	0	NA	NA	100
b Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
c Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
d Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
e Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
f Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
g Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
h Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
i Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
Sub-Total (B)(1)		3	300	0	0	300	0.001	300	0	0.001	0	0	0	0	NA	NA	100
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.000	0	0	0.000	0	0	0	0	NA	NA	0





Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category and name of shareholder	PAN	Nos. of shares paid up	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	(IX) Number of Voting Rights securities	Class eg: X	Class eg:y	Total	Total as a % of Total Voting Rights	(X) No. of Shares Outstanding convertible securities (including Warrants)	(XI) Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XII) No. of Locked in shares	(a)	(b)	(XIII) No. of Shares pledged or otherwise encumbered	(a)	(b)	(XIV) Number of equity shares held in dematerialized form	
1	Custodian / DR Holder	0	0	0	0	0	Class eg: X	0	0	0	0	0	0	(a)	0	(b)	0	(a)	0	(b)	0
2	Employee Benefit Trust (under SEBI Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
A	Total Non-Promoter-Non Public Shareholding (C)= (C1)+(C12)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0



Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

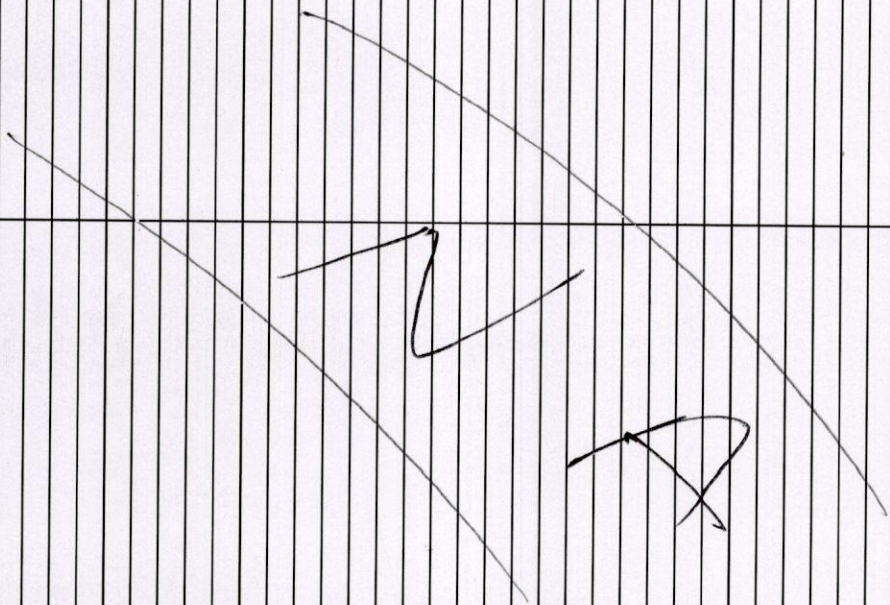
No. of shareholders	No of Shares held
	









Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)					Date of creation / acquisition of significant beneficial interest#
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Whether by virtue of: Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	K K AGARWAL	AAPPAS044B	Indian	K. K. AGARWAL (HUF)	AABHK7991K	Indian	0.3					February 8, 2019
2	K K AGARWAL	AAPPAS044B	Indian	CORONATION SPINNING INDIA PVT LTD	AAACC8311R	Indian	2.3					February 8, 2019
3	K K AGARWAL	AAPPAS044B	Indian	SUPREME FINVEST (P) LTD	AACCS0471N	Indian	0.74					February 8, 2019
4	K K AGARWAL	AAPPAS044B	Indian	ALPS PROCESSERS PRIVATE LIMITED	AABCA7512R	Indian	0.64					February 8, 2019
5	SANYOG AGARWAL	ACGPA7178K	Indian	PADAM PRECISION DIES AND COMPONENTS PVT LTD	AAACP4217H	Indian	4.32					February 8, 2019
6	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK TEXFAB LTD	AABCA6857F	Indian	0.26					February 8, 2019
7	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK FINVEST (P) LTD	AAACP8959Q	Indian	0.87					February 8, 2019
8	SANYOG AGARWAL	ACGPA7178K	Indian	PREFECT FINMEN SERVICES (P) LTD	AAACP8958R	Indian	1.06					February 8, 2019
9	SANYOG AGARWAL	ACGPA7178K	Indian	ROSEAT FINVEST (P) LTD	AAACR7175G	Indian	0.98					February 8, 2019
10	SANYOG AGARWAL	ACGPA7178K	Indian	SAURABH FLORICULTURE (P) LTD	AAACS6111R	Indian	0.47					February 8, 2019
11	SANDEEP AGARWAL	ACEPA4737G	Indian	SANDEEP AGARWAL(HUF)	AADHS9672P	Indian	0.24					February 8, 2019
12	SANDEEP AGARWAL	ACEPA4737G	Indian	CAREEN FINTEC (P) LTD	AAACC8312N	Indian	0.75					February 8, 2019
13	SANDEEP AGARWAL	ACEPA4737G	Indian	PACIFIC TEXMARK PRIVATE LIMITED	AABCA7511N	Indian	7.25					February 8, 2019

\* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar. It is confirmed that date mentioned above is the date which is filled into the respective Form BEN 2 submitted to the Registrar for the said company.

