

1. Name of Listed Entity

: ALPS INDUSTRIES LIMITED

2. Quarter ending

: 31st December, 2019

3. Scrip Code/Name of the Security : ALPSINDUS of NSE and 530715 of BSE /Equity Shares

Particulars	Promoter & Promoter Group	Public	Non Promoter Non Public
Whether the Listed Entity has issued any partly paid up shares?	No	No	No
Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
Whether the Listed Entity has any shares in locked-in?	No	No	No
Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	NA	NA
Whether the Listed Entity has issued any Differential Voting Rights?	No	No	No
Whether the Listed Entity has any significant beneficial owner ?	Yes	No	No

FOR ALPS INDUSTRIES LIMITED
(AYCOPTA)
Company Secretary &
General Manager-Legal



1. Name of Listed Entity : ALPS INDUSTRIES LIMITED

2. Quarter ending : 31st December, 2019

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			(X)	(XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		(XIV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	No of Voting Rights			(X)	(XI)	(a)	(b)	(a)	(b)	(XIV)	
								Class eg: X	Class eg: Y	Total	Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (including diluted share capital) As a % of (A+B+C2)	No.	As a % of total Shares held	No.	As a % of total Shares held	Number of equity shares held in dematerialized form
(A)	Promoter & Promoter Group	19	10586018	0	0	10586018	27.06	10586018	0	10586018	27.06	0	0	0	0	4019018	37.97	10586018
(B)	Public	19388	28528082	0	0	28528082	72.94	28528082	0	28528082	72.94	0	0	0	0	0	0	28454828
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	19407	39114100	0	0	39114100	100	39114100	0	39114100	100	0	100	0	0	4019018	37.97	39040846



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category and name of shareholder	PAN	Nos. of shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								Class eg. X	Class eg.Y	Total				(a)	(b)					(a)
1 Indian																				
a Individuals/Hindu undivided Family		8	2906028	0	0	2906028	7.43	2906028	0	2906028	7.43	0	0	0	0	1589028	15.01	2906028		
K. K. AGARWAL (HUF)	AABHK7991K		117000	0	0	117000	0.3	117000	0	117000	0.3	0	0	0	0	0	0	117000		
SANDEEP AGARWAL(HUF)	AADHS9672P		94380	0	0	94380	0.24	94380	0	94380	0.24	0	0	0	0	44380	0.419	94380		
NIDHI AGARWAL	AAKPA2949J		216100	0	0	216100	0.55	216100	0	216100	0.55	0	0	0	0	16100	0.152	216100		
K K AGARWAL	AAPPA5044B		1147460	0	0	1147460	2.93	1147460	0	1147460	2.93	0	0	0	0	647460	6.116	1147460		
SANDEEP AGARWAL	ACEPA4737G		651510	0	0	651510	1.67	651510	0	651510	1.67	0	0	0	0	401510	3.793	651510		
SANYOG AGARWAL	ACGPA7178K		248650	0	0	248650	0.64	248650	0	248650	0.64	0	0	0	0	248650	2.349	248650		
ROHAN AGARWAL	AIUPA3170F		430128	0	0	430128	1.1	430128	0	430128	1.1	0	0	0	0	230128	2.174	430128		
SUNANDINI AGARWAL	AIUPA3196R		800	0	0	800	0	800	0	800	0	0	0	0	0	800	0.008	800		
b Central Government/ State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
c Financial Institutions/ Banks			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
d Any Other (specify)		11	7679990	0	0	7679990	19.63	7679990	0	7679990	19.63	0	0	0	0	2429990	22.95	7679990		
BODY CORPORATE																				
CORONATION SPINNING INDIA PVT LTD	AAAAC8311R		900000	0	0	900000	2.3	900000	0	900000	2.3	0	0	0	0	300000	2.83	900000		
CAREEN FINTEC (P) LTD	AAAAC8312N		295000	0	0	295000	0.75	295000	0	295000	0.75	0	0	0	0	45000	0.43	295000		
PADAM PRECISION DIES AND COMPONENTS PVT LTD	AAAAC4217H		1688200	0	0	1688200	4.32	1688200	0	1688200	4.32	0	0	0	0	188200	1.78	1688200		
PREFECT FINMEN SERVICES (P) LTD	AAACP8968R		412800	0	0	412800	1.06	412800	0	412800	1.06	0	0	0	0	262800	2.48	412800		
PEEK FINVEST (P) LTD	AAACP8969Q		342082	0	0	342082	0.87	342082	0	342082	0.87	0	0	0	0	342082	3.23	342082		
ROSEATE FINVEST (P) LTD	AAACR7175G		382000	0	0	382000	0.98	382000	0	382000	0.98	0	0	0	0	382000	3.61	382000		
PEEK TEXFAB LTD	AAABCA6857F		100120	0	0	100120	0.26	100120	0	100120	0.26	0	0	0	0	120	0.00	100120		
PACIFIC TEXMARK PRIVATE LIMITED	AAABCA7511N		2835872	0	0	2835872	7.25	2835872	0	2835872	7.25	0	0	0	0	335872	3.17	2835872		



ALPS PROCESSERS PRIVATE LIMITED	AABCA7512R	250116	0	0	250116	0.64	250116	0	0	0.64	0	0	250116	2.36	250116
SUPREME FINVEST (P) LTD	AACCS0471N	290000	0	0	290000	0.74	290000	0	0	0.74	0	0	140000	1.32	290000
SAURABH FLORICULTURE (P) LTD	AACCS6111R	183800	0	0	183800	0.47	183800	0	0	0.47	0	0	183800	1.74	183800
Sub-Total (A1)		19	10586018	0	0	10586018	27.06	10586018	0	27.06	0	0	4019018	37.97	10586018
2 Foreign Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
b Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0
c Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0
d Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0
e Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)		19	10586018	0	0	10586018	27.06	10586018	0	27.06	0	0	4019018	37.97	10586018

Note:

The BSE vide their letter dated 04.12.2019 intimated that in terms of SEBI Circular Ref. No. LIST/COMP/OPS/16/2019-2020 Dated June 11, 2019 w.e.f. December 12 2019 the promoters and promoters group demat accounts have been frozen due to non-payment of ALF. The company is not a defaulter towards BSE Limited in view of the facts the company's application for de-listing of its shares from BSE is pending since 9th June, 2016. The company has also instituted a writ petition numbered 8567 of 2018 before the Hon'ble High Court, Allahabad, which is under consideration as on date.



Table III - Statement showing shareholding pattern of the Public shareholder

Category and name of shareholder	PAN	Nos. of shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								(IX)	(X)	(XI)			(XII)	(a)	(b)	(a)		(b)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)		(x)	(xi)	(xii)	(a)	(b)	(a)	(b)	(xiv)	
1 Institutions								No of Voting Rights										
a Mutual Funds		3	300	0	0	300	0.001	Class eg: X	Class eg: Y	Total	Total as a % of Total Voting Rights	0	0.001	0	0	0	0	100
b Venture Capital Funds		0	0	0	0	0	0											
c Alternate Investment Funds		0	0	0	0	0	0											
d Foreign Venture Capital Investors		0	0	0	0	0	0											
e Foreign Portfolio Investors		0	0	0	0	0	0											
f Financial Institutions/Banks		0	0	0	0	0	0											
g Insurance Companies		0	0	0	0	0	0											
h Provident Funds/ Pension Funds		0	0	0	0	0	0											
i Any Other (specify)		0	0	0	0	0	0											
Sub-Total (B)(1)		3	300	0	0	300	0.001											100
2 Central Government/ State Government(s)/ President of India		1	148442	0	0	148442	0.380											148442
Sub-Total (B)(2)		1	148442	0	0	148442	0.380											148442



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category and name of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	Shareholding calculated as per SCRR, 1957 As a % of (A+B+C2)	Total nos. shares held	Shareholding calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting securities	Number of Voting Rights held in each class of securities	Total as a % of Total Voting Rights	No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B-C2)	Number of Locked in shares	Number of Locked in shares As a % of total Shares held	Number of Shares pledged or otherwise encumbered	Number of Shares pledged or otherwise encumbered As a % of total Shares held	Number of equity shares held in dematerialized form
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V) + (VI)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)
1		0	0	0	0	0	0	Class eg: X 0	Class eg: Y 0	Total 0	0	0	(a) 0	(b) 0	(a) 0	(b) 0	0
Custodian/ DR Holder																	
2		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
a		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)																	



Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO (i)			Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (iii)					Date of creation / acquisition of significant beneficial interest#
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Whether by virtue of: Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant Influence	
1	K K AGARWAL	AAPPAS044B	Indian	K. K. AGARWAL (HUF)	AABHK7991K	Indian	0.3					February 8, 2019
2	K K AGARWAL	AAPPAS044B	Indian	CORONATION SPINNING INDIA PVT LTD	AAACC8311R	Indian	2.3					February 8, 2019
3	K K AGARWAL	AAPPAS044B	Indian	SUPREME FINVEST (P) LTD	AACCS0471N	Indian	0.74					February 8, 2019
4	SANYOG AGARWAL	ACGPA7178K	Indian	ALPS PROCESSERS PRIVATE LIMITED	AABCA7512R	Indian	0.64					February 8, 2019
5	SANYOG AGARWAL	ACGPA7178K	Indian	PADAM PRECISION DIES AND COMPONENTS PVT LTD	AAACP4217H	Indian	4.32					February 8, 2019
6	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK TEXFAB LTD	AABCA6857F	Indian	0.26					February 8, 2019
7	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK FINVEST (P) LTD	AAACP8959Q	Indian	0.87					February 8, 2019
8	SANYOG AGARWAL	ACGPA7178K	Indian	PREECT FINMEN SERVICES (P) LTD	AAACP8958R	Indian	1.06					February 8, 2019
9	SANYOG AGARWAL	ACGPA7178K	Indian	ROSEAT FINVEST (P) LTD	AAACR7175G	Indian	0.98					February 8, 2019
10	SANDDEEP AGARWAL	ACEPA4737G	Indian	SAURABH FLORICULTURE (P) LTD	AAACC6111R	Indian	0.47					February 8, 2019
11	SANDDEEP AGARWAL	ACEPA4737G	Indian	SANDEEP AGARWAL(HUF)	AADHS9672P	Indian	0.24					February 8, 2019
12	SANDDEEP AGARWAL	ACEPA4737G	Indian	CAREEN FINTEC (P) LTD	AAACC8312N	Indian	0.75					February 8, 2019
13	SANDDEEP AGARWAL	ACEPA4737G	Indian	PACIFIC TEXMARK PRIVATE LIMITED	AABCA7511N	Indian	7.25					February 8, 2019

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar. It is confirmed that date mentioned above is the date which is filled into the respective Form BEN 2 submitted to the Registrar for the said company.

