

Regd./Corp. Office: 57/2, Site-IV, Industrial Area, Sahibabad, Ghaziabad-201 010,

Uttar Pradesh India Tel.: +91-120-4161700

Tele Fax: +91-120-2895299, 2896041 E-mail: alps@alpsindustries.com CIN: L51109UP1972PLC003544

www.alpsindustries.com

## Alps Industries Ltd.

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED (L51109UP1972PLC003544)

- 2. Scrip Code/Name of Scrip/Class of Security: BSE 530715, NSE ALPSINDUS EQ.
- 3. Share Holding Pattern Filed under: Reg.31(1)(b)
  - a. If under 31(1)(b) then indicate the report for Quarter ending: SEPTEMBER 30, 2016
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment N.A.
- Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	T/DO:	1
a	Whether the Listed Eastin 1	YES*	NO*
	Whether the Listed Entity has issued any partly paid up shares	-	No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	_	No
С			
	Whether the Listed Entity has any shares against which depository receipts are issued?	_	No
d	Whether the Listed Entity has any shares in locked-in?		
е	Whether are 1 1111 111 111 Shares in locked-in?	_	No
	Whether any shares held by promoters are pledge or otherwise encumbered?	YES	-

For Alps Industries Limited

(Company Secretary & General Manager-Legal)

1. Name of Listed Entity: ALPS INDUSTRIES LIMITED

2. Quarter ending : 30TH SEPTEMBER 2016

able I - Summary Statement holding of specified securities

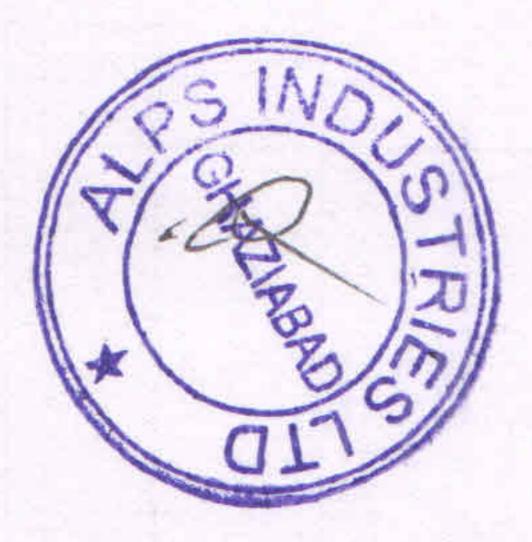
caregory					(2)					(A)	(B)	(C)	(C1)	(C2)	
category of	Sildi Ciloloci				(II)					Promoter &	Public	Non Promoter-	Shares underlying DRs	Shares held by	Total
1403. 01	Sildi Ciliolida				(III)					19	22981	0	0	0	23000
					(IV)					10586018	28528082	0	0	0	39114100
	held				3					0	0	0	0	0	0
ind. of office		Receipts			(VI)					0	0	0	0	0	0
TOTAL HOS. SHALES HELD					(VII) = (IV)+(V)+(VI)					10586018	28528082	0	0	0	39114100
Joint Charles of charge of or	(calculated as per SCRR,	1957) AS 8 % of (A+B+C2			(VIII)					27.06	72.94	0		0	100
TAULIDEI OI	, J				(IX)	No of Voting Rights			Class eg: X	10586018	28528082	0	0	0	39114100
Aoring vigino neio ili eacii ciass oi						Rights			Class eg: Y	0	0	0	0	0	0
lield III edc									Total	10586018	28528082	0	0	0	39114100
II CIGOS OI						Total as a % of	Total Voting	Rights		27.06	72.94	0	0	0	100
INO. OF SHARES	Outstanding	securities (including	Warrants)		(x)					0	0	0	0	0	0
	conversion of	securities ( as a percentage of diluted share	capital) As a % of	(A+B+C2)	(XI)					0	0	0	0	0	0
- 11	Sign				(XII)	No.			(a)	0	0	0	0	0	0
or Locked in						As a % of	total Shares	held	(b)	0	0	0	0	0	0
Number of	otherwise	encumbered			(XIII)	No.			(a)	7586018	0	0	0	0	7586018
Judies	2	ed				As a % of	total	Shares	(b)	71.66	0	0	0	0	71.66
NO LOCALIDA	held in	form			(XIV)					10586018	28431374	0	0	0	39017392



able II - Statement showing shareholding pattern of the Promoter and Promoter Group

shareholder			Indian	Indiv	K. K. AGARWAL (HUF)	SANDEEP AGARWAL(HU	NIDHI AGARWAL	K K AGARWAL	NDEEP AGARW	BOHAN AGARWAL	SUNANDINI AGARWAL	b Central Government/ State	mment(s)	Financial Institutions/ Ban	d Any Other (specify)	BODY CORPORATE	INDIA PVT LTD	CAREEN FINTEC (P) LTD	AND COMPONENTS PYT	SERVICES (P) LTD	PEEK FINVEST (P) LTD	OSEAT FINVEST	PEEK TEXFABLID	WATE LIMITE	IMITED	KEME HINV
	(II)			ded	AABHK7991K	IF) AADHS9672P	AAKPA2949J	AAPPA5044B	CEPA47	SPA/1/	AILIPA3196R			ks			AAACC8311R	AAACC8312N	AAACP4217H	AAACP8958R	AAACP8959Q	AAACR7175	A685	AABCA/511N	I N	D AACCS0471N
Iders	3			00							T	0		0	11											
equity shares held	3			2906028	117000	94380	216100	1147460	651510	248650	900	0		0	7679990		900000	295000	1688200	412800	342082	382000		2		290000
paid-up equity shares held	3			0	0	0	0	0	0	0	0	0		0	0		0	0	0	0	0	0		0	0	0
Depository Receipts	3			0	0	0	0	0	0	0	0	0		0	0		0	0	0	0	0	0	0	0	0	0
shares held	(IV)+(V)+(VI			2906028	117000	94380	216100	1147460	651510	248650	430128	000		0	7679990		900000	295000	1688200	412800	342082	382000	100120	2835872	250116	290000
per SCRR, 19: As a % of (A+B+C2)	- NIII)			7.43	0.3	0.24	0.55	2.93	1.67	0.64	1.1			0	19.63		2.3	0.75	4.32	1.06	0.87	0.98	0.26	7.25	0.64	0.74
57	(DX)	No of Vot	Class eg: X	2906028	117000	94380	216100	1147460	651510	248650	430128	000		0	7679990		900000	295000	1688200	412800	342082	382000	100120	2835872	250116	290000
		oting Rights	( Class eg:y	0	0	0	0	0	0	0	0			0	0		0	0	0	0	0	0	0	0	0	0
			Total	2906028	117000	94380	216100	1147460	651510	248650	430128	000		0	7679990		900000	295000	1688200	412800	342082	382000	100120	2835872	250116	290000
		Total as a % of Total Voting Rights		7.43	0.3	0.24	0.55	2.93	1.67	0.64	1.1	0		0	19.63		2.3	0.75	4.32	1.06	0.87	0.98	0.26	7.25	0.64	0 74
Outstanding convertible securities (including Warrants)	8			0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	0
assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	(XI)			7.43	0.3	0.24	0.55	2.93	1.67	0.64	1.1	0	0	0	19.63		2.3	0.75	4.32	1.06	0.87	0.98	0.26	7.25	0.64	770
shares	(XII)	No.	(a)	0	0	0	0	0	0	0	0	0	0	0	0		0	0	0	0	0	0	0	0	0	
		As a % of tota		0	0	0	0	0	0	0	0	0	0	0			0	0	0	0	0	0	0	0	0	
or other	(XIII)	al No.	(a)	A .	67000	43	16100	79   1		248650	230128	800	0	0	517000n		300000	295000	188200	262800	247087		120	2835872	250116	1
rwise encumbere		As a % of total	0.00		57 76		7 45			100	53.5	100	0	0	67 45	1	33.33	100	11.15	63.66	100	100	0.12	100	100	
equity share held in demateriali d form	(VIV)			2906028	117000	0.00	216100	1147460	651510	248650	430128	800	0	0	7670000	1 5	900000	295000	1688200	412800	243003	700745	100120	2835872	250116	

Promoter and Promoter Group (A)= (A)(1)+(A)(2)	Sub-Total (A)(2)	e Any Other (specify)	d Foreign Portfolio Investor		Inctitutions	b Government	Individuals (Non-Resident Individuals)	-	Sub-Total (A)(1)	(P) LTD
										MACCOOLLIK
19			0			0	0		19	
10586018		0	0			0	•		10586018	183800
0	0	0	0	•		0	0		0	0
0	0	0	0	0		0	0		0	0
10586018	0	0	0	0		0	0		10586018	183800
27.06	0	0	0	0		0	0		27.06	0.47
10586018	0	0	0	0		0	0		10586018	183800
0	0	0	0	0		0	0		0	0
10586018	0	0	0	0		0	0	***************************************	10586018	183800
3 27.06	0	0	0	0		0	0		8 27 06	0.47
0	0	0	0	0		0	0			0
27.06	0	0	0	0		0	0	27.00	20.50	0.47
0	0	0	0	0		0	0	0		0
0		0	0	0		0	0	0		0
7586018		0	0	0		0	0	7586018		183800
71.66		0	0	0		0	0	71.66		100
10586018		0	0	0		0	0	10586018		183800



178.7

able III - Statement showing shareholding pattern of the Public shareholder

N		- gade		pr	00	н	0	Δ.	0	0,	20	H			
Governmer Governmer	Sub-Total (	Any Other	sion	#	Insurance	Financial Institutions	Foreign Po	Foreign V.	Alternate	Venture C Funds	Mutual F			3	
nt/State nt(s)/	(B)(1)	(specify)	nds	Funds/		ıs/ Banks	ortfolio	vestors	at Funds	apital	unds/	ions			
														(1)	
0	ω	0			0	20	0	0	0	0	3			(III)	ders
0	114959	0			0	114659	0	0	0	0	300			(LA)	shares held
0	0	0			0	0	0	0	0	0	0			3	paid- paid- up equity shares held
0	0	0	0		0	0	0	0	0	0	0			3	share under ying Depor ory Recei
0	114959	0	0		0	114659	0	0	0	0	300			(IV)+(V)+ (VII) =	sit shares he
0	0.294	0	0		0	0.293	0	0	0	0	0.001			(VIII)	eld lding % calculat ed as per SCRR, 1957 As a % of (A+B+C2)
	114959	0	•		0	114659	0	0	0	0	300	Class eg: X	No of Votin	×	securities
0	0	0	0		0	0	0	0	0	0	0	Class eg:y	lg Rights		
	114959	0	0		0	114659	0	0	0	0	300	Total			
	0.294				0	0.293					0.001		Total as a Woting Rights		
	0	0	0		0	0	0	0	0	0	0			×	Underlying Outstanding convertible securities (including Warrants)
	0 294	0	0		0	0.293	0	0	0	0	0.001				% assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)
0		0	0		0	0	0	0	0	0	0	(a)	No.	(XIIX)	in shar
0		0	0		0	0	0	0	0	0	0	(b)	As a % of total Shares held		es Locked
•		0	0			0	0	0	0	0	0	(a)	No.	(XIII)	Piedge otherw encum
0 1		0										(b)	As a % of total Shares held		d or ise bered
114759			0			114659	0	0	0	0	100			(XIV)	Number of equity shares held in dematerialize form

			0	0	0	0				20	ω	
Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	Sub-Total (B)(3)	RAI INVESTMENTS LTD	pecify)	ositories ding DRs) uncing figure)	Employee Trusts	With RBI	FUJARA RAKESH	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	Individuals -	Non-institutions	(m)(m)
		AABCR9285J	CORPORATE BODY /OTHERS				AGBPP3114F					
22981	22976		659	0	0	1		109	22207			c
28528082		631117	4547867	0	0	2300	492249	6951508	16911448			•
0	0	0	0	0	0	0	0	0	0			C
0	0	0	0	0	0	0	0	0	0			•
28528082	28413123	631117	4547867	0	0	2300	492249	6951508	16911448			0
72.94	72.64	1.61	11.63	0	0	0.006	1.26	17.78	43.24			0
28528082	28413123	631117	4547867	0	0	2300	492249	6951508	16911448			C
0	0	0	0	0	0	0	0	0	0			0
28528082	28413123	631117	4547867	0	0	2300	492249	6951508	16911448			0
72.94	72.64	1.61	11.63	0	0	0.006	1.26	17.78	43.24			0
0	0	0	0	0	0	0	0	0	0			0
72.94	72.64	1.61	11.63	0	0	0.006	1.26	17.78	43.24			0
0	0	0	0	0	0	0	0	0	0			0
0	0	0	0	0	0	0	0	0	0		(	0
0	0	0	0	0	0	0	0	•	0		•	5
28431374	28316615	631117	4513735	0	0	2300	492249	6951508	168490		0	



(Kindly start filing data	Trom Kow No - 3)		
	Name of PAC	No. of shares	Holding %
		1	
		Y	



No. Details number of voting rights Kindly start of sharel of Shares holders hareholders, outstanding shares held in demat/unclaimed suspense filing data from Row No which are frozen etc. which remain unclaimed may be 14366 No. of Shares 3) given hear along with details such account, Se

TO SINO SITE OF STATE OF STATE

Table

				-	N			B   B	300 Z
shareholder				Custodian/	DIOP	Benefit Trust (under SEBI (Share based Employee	Hati(	otal	Promoter- Non Public Shareholding (C)= (C)= (C)(1)+(C)(2)
		3							
shar		<u></u>		0				0	
paid up equity shares held		(IV)		0					
paid-u equity shares held	3	3		0		•		0	
p underlyin g Depositor y Receipts		(IN)		0				0	
Shares neigh		(IV)+(VI) = (IV)		0					
ding % calculate d as per SCRR, 1957 As a % of (A+B+C2)		(VIII)		0				0	
class of		(IXI)	No of Vo	Class eg:					
securities			ting Rights	Class eg:		0		0	
				Total	0	•			•
			Total as a % of Total Voting Rights		0				
Shares Underlyi ng outstand ing convertib le le securities (includin g Warrants)	Warrants)	X			0	•			
as a % as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) As a % of (A+B+C2)		(XX)			•				
Sp. P. Sp. Sp. Sp. Sp. Sp. Sp. Sp. Sp. Sp. Sp		(XII)	No.	(a)	0	0			•
ares			As a % of total Shares held	(b)					0
Shares pledged or otherwise encumbered		(XIII)	No. As a % of total Shares held	(a) (b)					
Number of equity shares held in demater alized form		(XIX)			0	0			