



Vista®

Alps Industries Limited

(A Government Recognised Golden Trading House)
An ISO 14001 & ISO 9001 Company

57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad- 201 010 INDIA
Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299, 896041
E-mail : alps@alpsindustries.com

(Through Courier)

ALPS/329/SE09/2013-2014

October 7, 2013

The General Manager
Market Operations Deptt.,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5th Floor,
Plot No. C/1, G-Block, Bandra-Kurla Complex
Bandra (E), **Mumbai - 400 051**
Ph - 91-22-2659 8101 - 8114
Fax : 022 - 26598237/38/ 2659 8100
Email - cmlist@nse.co.in

SUB : INFORMATION UNDER CLAUSE 35 AND 49 OF THE LISTING AGREEMENT.

Dear Sir,

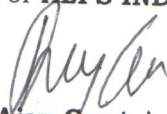
As required under the clause 35 and 49 of the listing agreement, we are enclosing herewith the following documents:-

1. Shareholding Pattern alongwith details of Shareholding More Than One Percent of the issued capital of the company as on 30/09/2013.
2. Quarterly Compliance Reports on Corporate Governance for the quarter ended on 30/09/2013.

We hope you will find the same in order.

Thanking you,

Yours faithfully,
For **ALPS INDUSTRIES LIMITED**


(Ajay Gupta)
Company Secretary
& General Manager Legal



Encl. a/a.

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QUARTERLY COMPLIANCE REPORT ON CORPORATE GOVERNANCE

NAME OF THE COMPANY : **ALPS INDUSTRIES LIMITED**
QUARTER ENDING ON : **30th SEPTEMBER 2013**

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
1	2	3	4
I. Board of Directors	49 1	Yes	--
(A) Composition of Board	49(1A)	Yes	--
(B) Non-executive Directors' compensation & disclosures	49 (1B)	Yes	---
(C) Other provisions as to Board and Committees	49 (1C)	Yes	---
(D) Code of Conduct	49 (1D)	Yes	---
II. Audit Committee	49 (II)		
(A) Qualified & Independent Audit Committee	49(IIA)	Yes	---
(B) Meeting of Audit Committee	49 (IIB)	Yes	---
(C) Power of Audit Committee	49 (IIC)	Yes	---
(D) Role of Audit Committee	49 (IID)	Yes	---
(E) Review of Information by Audit Committee	49 (IIE)	Yes	---
III. Subsidiary Companies	49 (III)	Yes	---
IV. Disclosures	49 (IV)		---
(A) Basis of related party transactions	49 (IV A)	Yes	---
(B) Disclosure of Accounting Treatment	49 (IV B)	Yes	---
(C) Board Disclosures	49 (IV B)	Yes	---
(D) Proceeds From public issues, right issues, preferential issues etc.	49 (IV D)	Yes	---
(E) Remuneration of Directors	49 (IV E)	Yes	---
(F) Management	49 (IV F)	Yes	---
(G) Shareholders	49 (IV G)	Yes	---
V. CEO/CFO Certification	49 (V)	Yes	---
VI. Report on Corporate Governance	49 (V)	Yes	---
VII. Compliance (Non-Mandatory Requirements)	49 (VII)	Yes	---

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**For Alps Industries Limited**

Ajay Gupta
AJAY GUPTA
(Company Secretary &
General Manager-Legal)