

Fashion your Lifestyle

**Alps Industries Ltd.**

ALPS/150/SE10/2017-18

**Regd./Corp. Office :**

57/2, Site-IV Industrial Area,  
Sahibabad, Ghaziabad - 201 010  
Uttar Pradesh (India)  
Tel." +91-120-4161700 (60 Lines)  
Tele Fax : +91-120-2895299, 2896041  
E-mail : info@alpsindustries.com  
CIN : L51109UP1972PLC003544  
[www.alpsindustries.com](http://www.alpsindustries.com)

October 11, 2017

The General Manager  
Market Operations Deptt.,  
National Stock Exchange of India Ltd.,  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G-Block, Bandra-Kurla Complex  
Bandra (E), **Mumbai - 400 051**  
Ph - 91-22-2659 8101 - 8114  
Fax : 022 - 26598237/38/ 2659 8100  
**Email - [cmlist@nse.co.in](mailto:cmlist@nse.co.in)**

Ms. Erika D'Sa, (Relationship Manager)  
Corporate Relationship Deptt.,  
**Bombay Stock Exchange Limited,**  
1<sup>ST</sup> Floor New Trading Ring,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort, **Mumbai-400 001.**  
Ph - 022-22728995  
Fax: 022 - 22723121 /  
3719/2037/2039/2041/2061  
Email - [intern.erika@bseindia.com](mailto:intern.erika@bseindia.com) ,  
[corp.relations@bseindia.com](mailto:corp.relations@bseindia.com)

**SUB : INFORMATION REGULATION 31(1)(b) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) 2015 & SUBMISSION OF RECONCILIATION OF SHARE CAPITAL AUDIT CERTIFICATE FOR THE QUARTER ENDED ON 30<sup>TH</sup> SEPTEMBER 2017..**

Dear Sir,

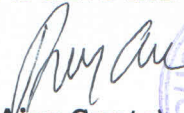
As required under the Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) 2015, & In reference to amended SEBI Circular No. CIR/MRD/DP/30/2010 dated September 6, 2010; we are enclosing herewith the Reconciliation of Share Capital Audit Certificate by the Practicing Company Secretary for the Quarter ended on 30<sup>th</sup> September 2017, we are enclosing herewith the following documents:-

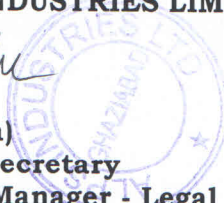
1. Shareholding Pattern alongwith details of Shareholding More Than One Percent of the issued capital of the company as on 30/09/2017.
2. Reconciliation Of Share Capital Audit certificate for the quarter ended on 30/09/2017.

We hope you will find the same in order.

Thanking you,

Yours faithfully,  
For **ALPS INDUSTRIES LIMITED**

  
**(Ajay Gupta)**  
**Company Secretary**  
**& General Manager - Legal**  
Encl. a/a.





Fashion your Lifestyle

**Alps Industries Ltd.**

**Regd./Corp. Office :**

57/2, Site-IV Industrial Area,  
Sahibabad, Ghaziabad - 201 010  
Uttar Pradesh (India)  
Tel." +91-120-4161700 (60 Lines)  
Tele Fax : +91-120-2895299, 2896041  
E-mail : info@alpsindustries.com  
CIN : L51109UP1972PLC003544  
[www.alpsindustries.com](http://www.alpsindustries.com)

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED (L51109UP1972PLC003544)
2. Scrip Code/Name of Scrip/Class of Security: NSE – ALPSINDUS EQ.
3. Share Holding Pattern Filed under: Reg. 31(1) (b)
  - a. If under 31(1)(b) then indicate the report for Quarter ending : September 30, 2017
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares	-	No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
c	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
d	Whether the Listed Entity has any shares in locked-in?	-	No
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES	-

For ALPS INDUSTRIES LIMITED

*(Signature)*  
Company Secretary &  
General Manager-Legal

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED

2. Quarter ending : 30th September 2017

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities	No of Voting Rights	Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares	Number of Shares pledged or otherwise enumerated	Number of equity shares held in dematerialized form
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)
(A)	Promoter & Promoter Group	19	10586018	0	0	10586018	27.06	Class eg: X 10586018 Class eg: Y 0 Total 10586018	27.06	0	0	(a) 0 (b) 0	(a) 4086018 (b) 38.59	10586018
(B)	Public	21486	28528082	0	0	28528082	72.94	28528082	72.94	0	0	0	0	28432374
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRS	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	Total	21505	39114100	0	0	39114100	100	39114100	100	0	0	0	4086018	39018392

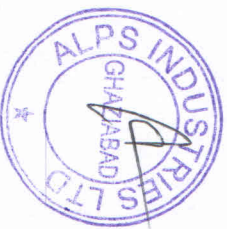
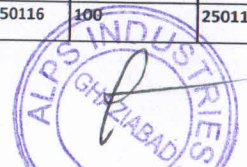


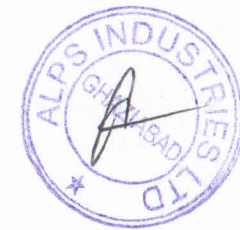


Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category and name of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(I)	(II)	(III)			(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)		(VIII)	(IX)
								No of Voting Rights			Total as a % of Total Voting Rights								
								Class eg: X	Class eg:y	Total			No.	As a % of total Shares	No.	As a % of total Shares held			
														(a)	(b)	(a)	(b)		
<b>1 Indian</b>																			
<b>a Individuals/Hindu undivided Family</b>		8	2906028	0	0	2906028	7.43	2906028	0	2906028	7.43	0	7.43	0	0	1656028	56.98	2906028	
K. K. AGARWAL (HUF)	AABHK7991K		117000	0	0	117000	0.3	117000	0	117000	0.3	0	0.3	0	0	67000	57.26	117000	
SANDEEP AGARWAL(HUF)	AADHS9672P		94380	0	0	94380	0.24	94380	0	94380	0.24	0	0.24	0	0	44380	47.02	94380	
NIDHI AGARWAL	AAKPA2949J		216100	0	0	216100	0.55	216100	0	216100	0.55	0	0.55	0	0	16100	7.45	216100	
K K AGARWAL	AAPPA5044B		1147460	0	0	1147460	2.93	1147460	0	1147460	2.93	0	2.93	0	0	647460	56.42	1147460	
SANDEEP AGARWAL	ACEPA4737G		651510	0	0	651510	1.67	651510	0	651510	1.67	0	1.67	0	0	401510	61.63	651510	
SANYOG AGARWAL	ACGPA7178K		248650	0	0	248650	0.64	248650	0	248650	0.64	0	0.64	0	0	248650	100	248650	
ROHAN AGARWAL	AIUPA3170F		430128	0	0	430128	1.1	430128	0	430128	1.1	0	1.1	0	0	230128	53.5	430128	
SUNANDINI AGARWAL	AIUPA3196R		800	0	0	800	0	800	0	800	0	0	0	0	0	800	100	800	
<b>b Central Government/ State Government(s)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>c Financial Institutions/ Banks</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
<b>d Any Other (specify)</b>		11	7679990	0	0	7679990	19.63	7679990	0	7679990	19.63	0	19.63	0	0	2429990	31.64	7679990	
BODY CORPORATE																			
CORONATION SPINNING INDIA PVT LTD	AAACC8311R		900000	0	0	900000	2.3	900000	0	900000	2.3	0	2.3	0	0	300000	33.33	900000	
CAREEN FINTEC (P) LTD	AAACC8312N		295000	0	0	295000	0.75	295000	0	295000	0.75	0	0.75	0	0	45000	15.25	295000	
PADAM PRECISION DIES AND COMPONENTS PVT LTD	AAACP4217H		1688200	0	0	1688200	4.32	1688200	0	1688200	4.32	0	4.32	0	0	188200	11.15	1688200	
PREFECT FINMEN SERVICES (P) LTD	AAACP8958R		412800	0	0	412800	1.06	412800	0	412800	1.06	0	1.06	0	0	262800	63.66	412800	
PEEK FINVEST (P) LTD	AAACP8959Q		342082	0	0	342082	0.87	342082	0	342082	0.87	0	0.87	0	0	342082	100	342082	
ROSEAT FINVEST (P) LTD	AAACR7175G		382000	0	0	382000	0.98	382000	0	382000	0.98	0	0.98	0	0	382000	100	382000	
PEEK TEXFAB LTD	AABCA6857F		100120	0	0	100120	0.26	100120	0	100120	0.26	0	0.26	0	0	120	0.12	100120	
PACIFIC TEXMARK PRIVATE LIMITED	AABCA7511N		2835872	0	0	2835872	7.25	2835872	0	2835872	7.25	0	7.25	0	0	335872	11.84	2835872	
ALPS PROCESSERS PRIVATE LIMITED	AABCA7512R		250116	0	0	250116	0.64	250116	0	250116	0.64	0	0.64	0	0	250116	100	250116	



SUPREME FINVEST (P) LTD	AACCS0471N		290000	0	0	290000	0.74	290000	0	290000	0.74	0	0.74	0	0	140000	48.28	290000
SAURABH FLORICULTURE (P) LTD	AACCS6111R		183800	0	0	183800	0.47	183800	0	183800	0.47	0	0.47	0	0	183800	100	183800
Sub-Total (A)(1)		19	10586018	0	0	10586018	27.06	10586018	0	10586018	27.06	0	27.06	0	0	4086018	38.59	10586018
2 Foreign																		
a Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		19	10586018	0	0	10586018	27.06	10586018	0	10586018	27.06	0	27.06	0	0	4086018	38.6	10586018





Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(Kindly start filing data from Row No - 3)

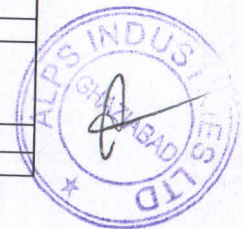
No. of shareholders	No of Shares held

*14/17*



Table III - Statement showing shareholding pattern of the Public shareholder

	Category and name of shareholder	PAN	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									(IX)	(X)	(XI)			(XII)	(XIII)	(XIV)			
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	No of Voting Rights					No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class eg: X	Class eg:y	Total			(a)	(b)	(a)	(b)		
1	Institutions																		
a	Mutual Funds/		3	300	0	0	300	0.001	300	0	300	0	0	0.001	0	0	0	0	100
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f	Financial Institutions/ Banks		1	200	0	0	200	0.001	200	0	200	0.001	0	0.001	0	0	0	0	200
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		4	500	0	0	500	0.001	500	0	500	0.001	0	0.001	0	0	0	0	300
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0





3	Non-institutions																	
a	Individuals -																	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	20780	16217135	0	0	16217135	41.46	16217135	0	16217135	41.46	0	41.46	0	0	0		16155759
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	140	8100742	0	0	8100742	20.71	8100742	0	8100742	20.71	0	20.71	0	0	0		8100742
	Kinnari Rakesh Pujara	1	492249	0	0	492249	1.26	492249	0	492249	1.26	0	1.26	0	0	0		492249
	Subramanian P	1	435650	0	0	435650	1.11	435650	0	435650	1.11	0	1.11	0	0	0		435650
b	NBFCs registered with RBI	1	4500	0	0	4500	0.01	4500	0	4500	0.01	0	0.01	0	0	0		4500
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Any Other (specify) CORPORATE BODY	293	3368487	0	0	3368487	8.61	3368487	0	3368487	8.61	0	8.61	0	0	0		3363267
	Any Other (specify) NRI	268	836718	0	0	836718	2.14	836718	0	836718	2.14	0	2.14	0	0	0		807806
	CORPORATE BODY # Shree Naman Securities and Finance Private Limited	1	609964	0	0	609964	1.56	609964	0	609964	1.56	0	1.56	0	0	0		609964
	Sub-Total (B)(3)	21482	28527582	0	0	28527582	72.94	28527582	0	28527582	72.94	0	72.94	0	0	0		28432074
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	21486	28528082	0	0	28528082	72.94	28528082	0	28528082	72.94	0	72.94	0	0	0		28432374

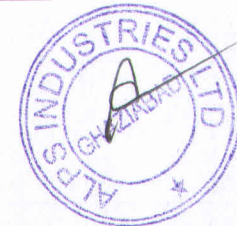










Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category and name of shareholder	PAN	Nos. of shareholders	No. of fully paid up shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights	Total as a % of Total Voting Rights				No.	As a % of total Shares held	No.	As a % of total Shares held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)
								Class eg: X	Class eg: y	Total			(a)	(b)	(a)	(b)	
1	Custodian/ DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0		0	0	0	0	0	0				0
a	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0		0

