



Vista®

# Alps Industries Limited

(A Government Recognised Trading House)  
An ISO 14001 & ISO 9001 Company

Corp. Off. : 57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad-201010 INDIA  
Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299  
Email : [alps@alpsindustries.com](mailto:alps@alpsindustries.com) Web : [www.alpsindustries.com](http://www.alpsindustries.com)  
CIN No. : L51109UP1972PLC003544 (Through Courier)

ALPS/440/SE09/2014-2015

January 09, 2015

The General Manager  
Market Operations Deptt.,  
National Stock Exchange of India Ltd.,  
Exchange Plaza, 5th Floor,  
Plot No. C/1, G-Block, Bandra-Kurla Complex  
Bandra (E), **Mumbai - 400 051**  
Ph - 91-22-2659 8101 - 8114  
Fax : 022 - 26598237/38/ 2659 8100  
Email - [cmlist@nse.co.in](mailto:cmlist@nse.co.in)

**SUB : INFORMATION UNDER CLAUSE 35 AND 49 OF THE LISTING AGREEMENT.**

Dear Sir,

As required under the clause 35 and 49 of the listing agreement, we are enclosing herewith the following documents:-

1. Shareholding Pattern alongwith details of Shareholding More Than One Percent of the issued capital of the company as on 31/12/2014.
2. Quarterly Compliance Reports on Corporate Governance for the quarter ended on 31/12/2014.

We hope you will find the same in order.

Thanking you,

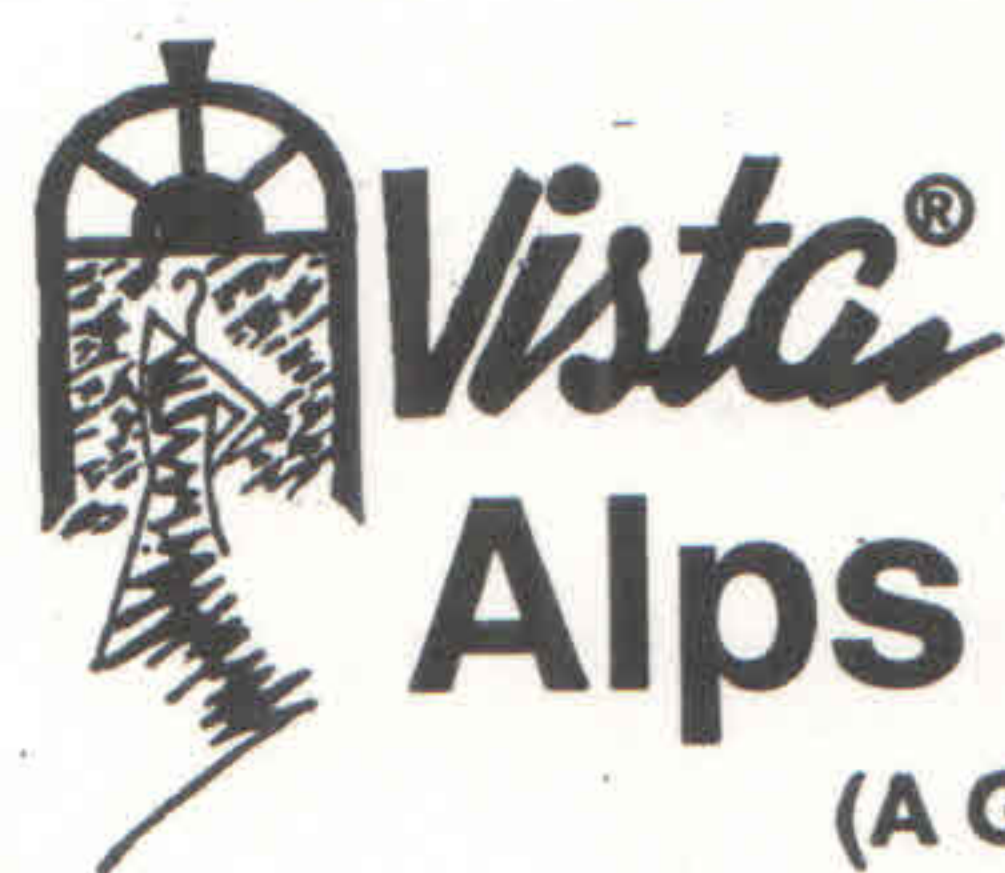
Yours faithfully,  
For **ALPS INDUSTRIES LIMITED**

  
(Ajay Gupta)  
Company Secretary  
& General Manager Legal



Encl. a/a.

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## REPORT ON CORPORATE GOVERNANCE AS PER REVISED CLAUSE 49

VIDE SEBI CIRCULAR NO.CIR/CFD/POLICY CELL/2/2014 DATED APRIL 17, 2014 AND CIR/CFD/POLICY CELL/7/2014 DATED SEPTEMBER 15, 2014.

NAME OF THE COMPANY : **ALPS INDUSTRIES LIMITED**  
QUARTER ENDING ON : **31<sup>st</sup> DECEMBER, 2014**

| Particulars   | Clause of Listing agreement | Compliance Status Yes/No | Remarks  |
|---|-----------------------------|--------------------------|--|
| 1   | 2                           | 3                        | 4  |
| <b>BOARD OF DIRECTORS</b>                             | 49 II                       | Yes                      | Appointment of woman Director is under process. Will be complied with on or before due date. |
| Composition of Board                                  | 49 II A                     | Yes                      | ---  |
| Independent Directors                                 | 49 II B                     | Yes                      | ---  |
| Non-executive Director's Compensation and disclosures | 49 II C                     | Yes                      | ---  |
| Other provisions as to Board and Committees           | 49 II D                     | Yes                      | ---  |
| Code of Conduct                                       | 49 II E                     | Yes                      | ---  |
| Whistle Blower Policy                                 | 49 II F                     | Yes                      | ---  |
| <b>AUDIT COMMITTEE</b>                                | 49 III                      | Yes                      | ---  |
| Qualified and Independent Audit Committee             | 49 III A                    | Yes                      | ---  |
| Meeting of Audit Committee                            | 49 III B                    | Yes                      | ---  |
| Powers of Audit Committee                             | 49 III C                    | Yes                      | ---  |
| Role of Audit Committee                               | 49 III D                    | Yes                      | ---  |
| Review of Information by Audit Committee              | 49 III E                    | Yes                      | ---  |
| <b>NOMINATION AND REMUNERATION COMMITTEE</b>          | 49 IV                       | Yes                      | ---  |
| <b>SUBSIDIARY COMPANIES</b>                           | 49 V                        | Yes                      | ---  |
| <b>RISK MANAGEMENT</b>                                | 49 VI                       | Yes                      | --   |

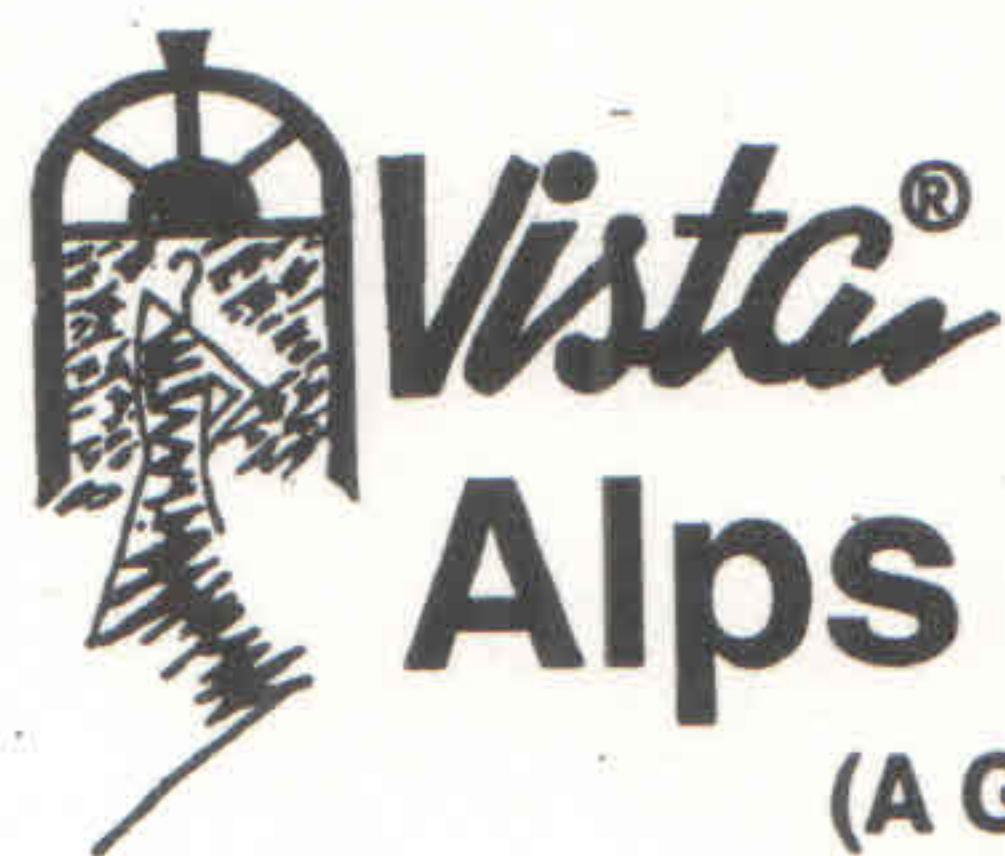
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For Alps Industries Limited

*Ajay Gupta*  
**AJAY GUPTA**  
(Company Secretary & General Manager-Legal)

Regd. Office : B-2, Loni Road Indl. Area, (Opp. Mohan Nagar) Ghaziabad-201 007  
Phone : 0120-2657649



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|  |           |     |   |
|--|-----------|-----|---|
| RELATED PARTY TRANSACTIONS                                     | 49 VII    | Yes | There are no related party transactions are beyond the limit specified under the clause during the period under review. |
| DISCLOSURES  | 49 VIII   | Yes | ---   |
| Related party transactions                                     | 49 VIII A | Yes | There are no related party transactions are beyond the limit specified under the clause during the period under review. |
| Disclosure of Accounting Treatment                             | 49 VIII B | N.A | Normal treatment as per the prescribed accounting standards used to be given.   |
| Remuneration of Directors                                      | 49 VIII C | Yes | ---   |
| Management   | 49 VIII D | Yes | ---   |
| Shareholders   | 49 VIII E | Yes | ---   |
| Proceeds from public issues, rights issue, preferential issues | 49 VIII I | N.A | Not applicable for this quarter.  |
| CEO/CFO CERTIFICATION  | 49 IX     | Yes | ---   |
| REPORT ON CORPORATE GOVERNANCE                                 | 49 X      | Yes | ---   |
| COMPLIANCE   | 49 XI     | Yes | ---   |



For Alps Industries Limited

*Ajay Gupta*  
AJAY GUPTA  
(Company Secretary &  
General Manager-Legal)

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