

Alps Industries Ltd.

REGD./CORP. OFFICE

57/2, Site-IV, Industrial Area
Sahibabad, Ghaziabad - 201010
Uttar Pradesh (India)

CORPORATE GOVERNANCE REPORT

1. Name of Listed Entity : **Alps Industries Limited**
2. Quarter ending : **September 30, 2021**

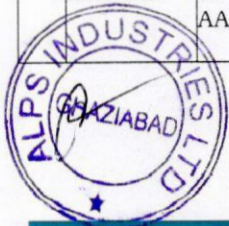
I. Composition of Board of Directors

Tit le (M r . / M s)	Name of the Director	PAN & DIN	Category (Chairperson /Executive / Non- Executive/ independ ent / Nominee)	Initial Date of Appoint ment	Date of Re-app ointmen t	Dat e of Ces sati on	Ten ure *	Date of Birth	No. Of direct ors hip in listed entit ies incl ud ing this listed entit y [in refere nce to Regul ati on 17A(1)]	No of Indepen dent Directors hip in listed entit ies incl ud ing this listed entit y [in referenc e to proviso to regulati on 17A(1)]	Number of membe rshi ps in Audit/ Stakeh older Commi ttee (s) incl ud ing this listed entit y (Refer Regula tion 26(1) of Listing Regula tion s)	No of post of Chair pers on in Audit / Stake holder Com mitte e held in listed entit ies incl ud ing this listed entit y (Refer Regul ation 26(1) of Listin g Regul ations)
Mr.	Sandeep Agarwal	00139439 & ACEPA4737G	Managing Director	01/01/1993 (Rotational)	01/04/20 20 (Rotation al for 3 years)	-	Not Applicable	24-08- 1964	1	0	2	0
Mr.	Pramod Kumar Rajput	00597342 & AAAPR4916R	Whole Time Director	11/12/2009 (Rotational)	28/07/20 21 (Rotation al for 3 years)	-	Not Applicable	06-06- 1956	1	0	0	0
Mr.	Prabhat Krishna	02569624 & AAKPP4573F	Independent Director	31/07/2009	11/02/20 18 (For 5 Years)	-	43 Months	06-11- 1947	1	1	2	2

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CIN : L51109UP1972PLC003544



Mr. Tilak Raj Khosla	02724242 & ACEPK5528K	Independent Director	31/07/2009	11/02/2018 (For 5 Years)	-	43 Months	19-03-1948	1	1	2	0
Mr. Pradyumn Kumar Lamba	02843166 & AANPL8667R	Independent Director	12/12/2009	11/02/2018 (For 5 Years)	-	43 Months	15-01-1948	1	1	2	0
Ms. Deepika Shergill	07093795 & AAAPO3953E	Independent Director	11/02/2015	11/02/2018 (For 5 Years)	-	43 Months	11-08-1962	1	1	0	0

Whether Regular chairperson appointed: **No**

Whether Chairperson is related to managing director or CEO: **No**

II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive / Non-Executive/ Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-
		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-
2. Nomination & Remuneration Committee	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-
3. Risk Management Committee(if applicable)	Not Applicable				
4. Stakeholders Relationship Committee	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-



		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter*	Date(s) of Meeting (if any) in the relevant quarter*	Whether requirement of Quorum met	Number of Directors present	Number of independent directors present*	Maximum gap between any two consecutive (in number of days)
a. 09/06/2021 b. 30/06/2021	13/08/2021	Yes	06	04	43 days

IV. Meetings of Committees

1. AUDIT COMMITTEE

Date(s) of meeting of the committee in the relevant quarter*	Whether requirement of Quorum met (details)	Number of Directors present	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
13/08/2021	Yes	04	03	a. 09/06/2021 b. 30/06/2021	43 days

2. STAKEHOLDER RELATIONSHIP COMMITTEE

Date(s) of meeting of the committee in the relevant quarter*	Whether requirement of Quorum met (details)	Number of Directors present	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
13/08/2021	Yes	04	03	None	43 days

V. Related Party Transactions

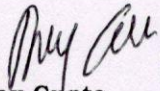
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	YES

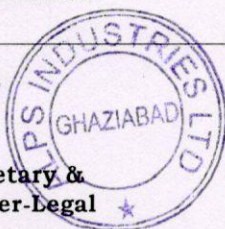


VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders Relationship Committee
 - d. Risk management committee (applicable to the top 100 listed entities): **Not Applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. **Report was placed before the board and adopted by the board.**


Ajay Gupta
Company Secretary &
General Manager-Legal



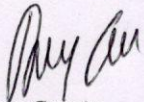
Date: 13.10.2021

Place: Ghaziabad

ANNEXURE I

1. Name of Listed Entity : **Alps Industries Limited**
2. Quarter ending : **September 30, 2021**

Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA)
<i>Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website</i>	46(2)	Yes
<i>Presence of Chairperson of Audit Committee at the Annual General Meeting</i>	18(1)(d)	Yes
<i>Presence of Chairperson of the nomination and remuneration committee at the annual general meeting</i>	19(3)	Yes
<i>Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting</i>	20(3)	Yes
<i>Whether "Corporate Governance Report" disclosed in Annual Report</i>	<i>34(3) read with para C of Schedule V</i>	Yes



Ajay Gupta
Company Secretary &
General Manager-Legal

ALPS INDUSTRIES LTD
 GHAZIABAD

Date: 13.10.2021
Place: Ghaziabad

Half year ending - September 30, 2021

I. Disclosure of Loans / guarantees / comfort letters / securities etc.

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL
Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL
KMPs or any other entity controlled by them	NIL	NIL	NIL

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at The end of six months
Promoter or any other entity controlled by them	NIL	NIL	NIL
Promoter Group or any other entity controlled by them	NIL	NIL	NIL



Directors (including relatives) or any other entity controlled by them	NIL	NIL	NIL	
KMPs or any other entity controlled by them	NIL	NIL	NIL	

II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.

Ashok Kumar Singhal
Ashok Kumar Singhal
CFO

