

Corp. Off. : 57/2, Site-IV Industrial Area, Sahibabad, Ghaziabad-201010 INDIA

Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299

Email : alps@alpsindustries.com Web.: www.alpsindustries.com

CIN No. : L51109UP1972PLC003544

(I) (a)

SHAREHOLDING PATTERN Introductory sub-table (I)(a)

Name of the Company: Alps Industries Limited

Scrip Code, Name of the Scrip, Class of the Security: NSE -ALPSINDUS & BSE -530715 - ALPSIND, Alps Industries Limited, Equity Quarter Ended: Shareholding Pattern as on Decon June 30, 2015

Partly paid-up shares:-	No. of partly paid-up	As a % of total no. of	As a % of total no. of shares of the company
	shares	partly paid-up shares	-
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Warrants:-	No. of warrants	Às a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	39,114,100	0.00	100.00

For Alps Industries Limited

General Manager-Legal)

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Statement Showing Shareholding Pattern as on June 30, 2015

				ble (I)(a)					
Category Code	Category of Shareholder	Number of shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareho percentage of shares	lding as a total number of	Shares pledged or otherwise encumbered		
					As a Percentage of (A+B) ¹	As a Percentage of (A+B+C)	Number of Shares	As a Percentage IX=(VIII)/ (IV)*100	
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	
(A)	Shareholding of Promoter and Promoter Group	2							
(1)	Indian		0.000.000	0.000.000	7.0	7.10	0.100.000		
(a) (b)	Individuals / Hindu Undivided Family (HUF) Central Government / State Government	8	2,906,028	2,906,028	7.43	7.43	2,406,028	82.79	
(C)	Bodies Corporate	11	7,679,990	7,679,990	19.63	19.63	5,179,990	67.45	
(d)	Financial Institutions / Banks	-	7,070,000	7,070,000	- 10.00	70.00	0,170,000	01,40	
(e)	Any Other (Specify)		-		-	-			
	Sub - Total A (1)	19	10,586,018	10,586,018	27.06	27.06	7,586,018	71.66	
(2)	Foreign								
(a)	Individuals (Non-Resident Individuals/Foreign		1-				-		
/h)	Individuals) Bodies Corporate				-				
(b)	Institutions	-	· ·	-		-	-		
(d)	Qualified Foreign Investor			-			-		
(e)	Any Other (Specify)	-			-		-		
157	Sub - Total A (2)								
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	19	10,586,018	10,586,018	27.06	27.06	7,586,018	71.66	
(B)	Public shareholding ³								
(4)	1. 29. 2								
(1) (a)	Institutions Mutual Funds/ UTI	3	200	100	0.00	0.00		-	
(b)	Financial Institutions / Banks	1		100	0.00	0.00			
-	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		200	200	0.00	0.00		-	
(c)	Central Government/State Government(s)	-	-	3.			-	-	
(d)	Venture Capital Funds	-		-	~	-		-	
(e)	Insurance Companies (FI Govt. Sponsered)	1	1,000,000	1,000,000	2,56	2.56			
(f)	Foreign Institutional Investors (FIIs)	-	(a)	N. 12	21		Tial Tial		
(g)	Foreign Venture Capital Investors	-	-	-	-	-	12		
(h)	Qualified Foreign Investor	-	-						
(i)	Any other (specify)	-	-	-	-	-	-		
-	Sub - Total B (1)	5	1,000,500	1,000,300	2.56	2.56	-	-	
	Sub- Fotal B (1)	-	1,000,500	1,000,300	2.50	2.56	-	•	
(2)	Non-Institutions								
(a)	Body Corporate	329	3,384,927	3,379,707	8.65	8.65	42,000	1.36	
(b)	Individuals								
1	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	22,103	22.128,626	22,065,837	56.58	56.58	-	-	
11	(ii) Individual shareholders holding nominal share	583	1,163,435	1,163,435	2.97	2.97	-		
	capital excess to Rs. 1 lakh. Total (bl+bll)								
(c)	Qualified Foreign Investor	22,686	23,292,061	23,229,272	60	60	26,789	0.12	
(d)	Any Other(Total)	276	850,594	821,682	2.18	2.17	-		
(d-i)		199	669,252	640,440	1.72	1.71			
(d-ii)		77	181,342	181,242	0.46	0.46	· ·	-	
	Sub - Total B (2)	23,291			70.38		68,789	0.12	
	Total Public Shareholding (P) = P(4), P(5)	22.000	20 500 000	00.400.001	70.0	70.00			
-	Total Public Shareholding (B) = B(1)+B(2)	23,296	28,528,082	28,430,961	72.94	72.94	68,789	27.81	
(C)	Shares held by custodians and against which depository receipts have been issued.								
1	Promoter & Promoter Group	-	-		-	-	_		
2	Public	-			-	-		-	
	Sub - Total (C)	-	-						
								-	

For Alps Industries Limited

(Company Secretary & General Manager-Legal)

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Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group" (I) (b)

		Name of the Shareholders	Total Share	es held	Shares pledged of	r otherwise en	rumbarad	D-4-11			f convertible	Total shares
(1)		(II)	No of Shares as on 30.06.2015	As a % of grand total (A+B)	No of Shares as on 30.06.2015	As a percentage (VI=V/III*100)	As a % of grand total of sub clause (i) (a) (VII)	Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
	Promo	oter Individual/HUF)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	0.00	
1	1	K.K. Agarwal & Sons (H U F)						1/	In	(^)	(XI)	(XII)
2	2	K. K. Agarwal	117,000	0.30	67,000	57.26	0.17		-			
3	3	Nidhi Agarwal	1,147,460	2.93	1,147,460	100.00	2.93				-	0.30
4	4	Rohan Agarwal	216,100	0.55	16,100	7.45	0.04					2.93
5	5	Sandeep Agarwal	430,128	1.10	230,128	53.50	0.59		-	•		0.55
6	6	Sandeep Agarwal (HUF)	651,510	1.67	651,510	100.00	1.67					1.10
7	7	Sanyog Agarwal	94,380	0.24	44,380	47.02	0.11			-		1.67
8	8		248,650	0.64	248,650	100.00	0.64		-	-	-	0.24
0	0	Sunandini Agarwal	800	0.00	800	100.00	0.00			-	-	0.64
		Total (A)	2,906,028	7.43	2,406,028	82.79	6.15	-	-	-		0.00
(Promo	oter Body Corporate)										7.43
1	9	Alps Processers Pvt. Ltd.	250.116	0.64								
2	10	Careen Fintec (P) Ltd.	295,000		250,116	100.00	0.64	-				0.64
3		Coronation Spinning India Pvt Ltd	900,000	. 0.75	295,000	100.00	0.75		-			
4	12	Pacific Texmark Pvt. Ltd. (Formerly known	The second secon	2.30	300,000	33.33	0.77				-	0.75
		as Alps Infin (P) Ltd.)	2,835,872	7.25	2,835,872	100.00	7 25					2.30 7.25
5	13	Padam Precision Dies & Components (P)	1.688,200	4.32	188.200	44.45		8				
6	14	Peek Finvest (P) Ltd.	342,082	0.87		11.15	0.48				-	4.32
7	15	Peek Texfab Ltd.	100.120	0.87	342,082	100.00	0.87	2		(#1	-	0.87
8	16	Prefect Finmen Services (P) Ltd.	412,800	1.06	120	0.12	0.00	-			-	0.26
9	17	Roseat Finvest (P) Ltd.	382,000	0.98	262,800	63.66	0.67			-	-	1.06
0		Saurabh Floriculture (Pvt) Ltd.	183.800	0.98	382,000	100.00	0.98		-			0.98
1		Supreme Finvest (Pvt) Ltd.	290.000		183,800	100.00	0.47	-		-		
		Total (B)	7,679,990	0.74	140,000	48.28	0.36		-	-		0.47
		(5)	7,079,990	19.63	5,179,990	67.45	13.24	-			-	0.74
		Grand Total (A+B)	10,586,018	27.06	7,586,018	71.66	19.39				-	19.63



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(i) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on June 30, 2015.

				Details of	warrants	Details of secu	Total shares (including	
SL. NO.	Name of the Shareholder	No. of Shares	Shares as a %age of total number of shares {I.e.Grand Total A+B+C indicated in statement at para (I) (a) above}	Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
Α.	FI (GOVT. SPONSERED FI)							
1	GENERAL INSURANCE CORPORATION OF INDIA	1,000,000	2.56	-	-	-		2.56
	TOTAL (A)	1,000,000	2.56	-	-	-		2.56
								-
В.	NON INSTITUTIONS-CORPORATE BODY DOMESTIC							-
1	BJD SECURITIES PRIVATE LTD	567,765						1.45
2	RAI INVESTMENTS LTD	625,880	1.60					1.60
C.	INDIVIDUAL SHAREHOLDERS - RESIDENT ORDINARY							-
1	KINNARI RAKESH PUJARA	617,249	1.57			-		1.57
2	SUNIL KUMAR MALIK	413,383	1.06					1.06
	TOTAL(C)	1,030,632	2.63	-				2.63
	10.742(0)	1,000,002	2.00				-	2.03
	GRAND TOTAL (A+B)	3,224,277	8.24	-				8.24



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(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company as on June 30, 2015

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}		f warrants	Details of c secur	Control of the Contro	Total shares (including underlying shares assuming full conversion of warrants and
			Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	convertible securities) as a % of diluted share capital	



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NO.	Name of the Shareholder	Category of Shareholder (Promoters/Public)	shares	Locked-in Shares as a %age of total number of shares {i.e.Grand Total A+B+C indicated in statement at para (I) (a) above}	Locked	-in Period
					To	From



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Details of Depository Receipts (DRs) as on June 30, 2015 (II) (a)

			underlying outstanding DRs	shares {I.e.Grand Total A+B+C
SL. NO.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of	Shares underlying outstanding DRs as a %age of total number of



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(II) (b) Statement Showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares as on June 30, 2015

SL. NO.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {I.e.Grand Total A+B+C indicated in statement at para (I) (a) above}
		NIL		



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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer as on June 30, 2015

Categor Code	ı y	Category of Shareholder	Number of Voting	Rights held i securities	in each class of	Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
			Class Equity	Class Y	Class Z		Percentage of (A+B) ¹	Percentage c (A+B+C)
(1))	(11)	(111)	(IV)	(V)	(VI)	(VII)	() (111)
(A)		Promoter and Promoter Group			1.7	(*1)	(VII)	(VIII)
(1)		Indian						
(1)	(a)							
	(b)	Individuals / Hindu Undivided Family (HUF) Central Government / State Government	2,906,028	-		2,906,028	7.43	7.43
	(C)	Bodies Corporate *	-			-	-	1.40
	(d)	Financial Institutions / Banks	7,679,990	-		7,679,990	19.63	19.63
	(e)	Any Other (Specify)		21		-	2	-
	(4)	Sub - Total A (1)	10 500 010	21		-	-	-
		Oub-Total A (1)	10,586,018	-	2.0	10,586,018	27.06	27.06
(2)		Foreign						
	(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		-	*		74	2
	(b)	Bodies Corporate		-				
	(C)	Institutions					-	-
	(d)	Qualified Foreign Investor	-	-		-	-	-
	(e)	Any Other (Specify)	-	-	-			
		Sub - Total A (2)		-	-	-		-
		×				-	-	•
		Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	10,586,018	-		10,586,018	27.06	27.06
(B)		Public shareholding ³						
(1)		Institutions						
(1)	(a)	Institutions Mutual Funds/ UTI						
_	(b)	Financial Institutions / Banks	300	-		300	0.00	0.00
-		The state of the s	200	(e)	-	200	0.00	0.00
	(C)	Central Government/State Government(s)	-	-	-	-	-	0.00
	(d)	Venture Capital Funds	-	-	-	-		
	(e)	Insurance Companies (FI Govt. Sponsered)	1,000,000			4	:=	-
	(f)	Foreign Institutional Investors (FIIs)	1,000,000	-		1,000,000	2.55	2.55
	(9)	Foreign Venture Capital Investors		-		-	*	-
	(h)	Qualified Foreign Investor	•	* *	-		in .	
	(i)	Any other (specify)						
		(0,000)	-	-		-	-	Ξ.
		Sub - Total B (1)	1,000,500					
		(1)	1,000,000	-		1,000,500	2.55	2.55
(2)		Non-Institutions						
	(a)	Body Corporate	3,384,927	-		-		
	(b)	Individuals	0,004,327		-	3,384,927	8.65	8.65
	1	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	13,769,577	-	-	13,769,577	35.20	35.20
	11	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh. Total(bl+bli)	9,522,484	-	16	9,522,484	24.35	24.35
-	(c)	Qualified Foreign Investor	23,292,061			23,292,061	59.55	59.55
	(d)	Any Other(Total)		-	Get Common Commo	-	-	-
-	(d-i)	(NRI Repatriable)	850,594	-	190	850,594	2.18	2.18
	(d-ii)	(NRI Non Repartiable)	669,252	-	-	669,252	1.72	1.72
	u 11)	Sub - Total B (2)	181,342	-	-	181,342	0.46	0.46
		Sub-Total B (2)	27,527,582	-		27,527,582	70.38	70.38
		Total Public Shareholding (B) = $B(1)+B(2)$	28,528,082	-	-	28,528,082	72.94	72.94
C)		Shares held by custodians and against which depository receipts have been issued.						
		Promoter & Promoter Group		14				
	2	Public		-	-	=	-	-
		Sub - Total (C)		-				
						-	•	•
		Grand Total (A+B)	39,114,100					

For Alps Industries Limited

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General Manager-Legal)