

Alps Industries Limited

REGD. / CORP. OFFICE

Plot No 15-B, G. T. Road
Chaudhary Morh, Ghaziabad
Uttar Pradesh – 201001

ALPS/271/SE11/2023-24

January 13, 2024

<p>The General Manager Market Operations Deptt., National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), Mumbai - 400 051 Ph – 91-22-2659 8101 – 8114 Email – cm1ist@nse.co.in</p>	<p>The Relationship Manager Corporate Relationship Deptt., Bombay Stock Exchange Limited, 1ST Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, Mumbai-400 001. Ph – 022-22728995 Email – intern.erika@bseindia.com, corp.relations@bseindia.com</p>
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SUB : SUBMISSION OF SHAREHOLDING PATTERN UNDER REGULATION 31(1)(b) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE QUARTER ENDED ON DECEMBER 31, 2023.

Dear Sir,

As required under the Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the following document:-


1. Quarterly Shareholding Pattern for the Quarter ended on December 31, 2023.

This is for your kind information please.

Thanking you

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**


(Ajay Gupta) Gupta

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Company Secretary

& Asstt. Vice President – Legal

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"Annexure-1"

		: ALPS INDUSTRIES LIMITED		
		: 31ST DECEMBER 2023		
3. Scrip Code/Name of the Security : ALPSINDUS of NSE and 530715 of BSE /Equity Shares		Promoter & Promoter Group	Public	Non Promoter Non Public
Particulars		Yes/No	Yes/No	Yes/No
Whether the Listed Entity has issued any partly paid up shares?		No	No	No
Whether the Listed Entity has issued any Convertible Securities or Warrants?		No	No	No
Whether the Listed Entity has any shares against which depository receipts are issued?		No	No	No
Whether the Listed Entity has any shares in locked-in?		No	No	No
Whether any shares held by promoters are pledge or otherwise encumbered?		Yes	No	NA
Whether the Listed Entity has issued any Differential Voting Rights?		No	No	No
Whether the Listed Entity has any significant beneficial owner ?		Yes	No	No

1. Name of Listed Entity : ALPS INDUSTRIES LIMITED
2. Shareholding Pattern for Quarter ended : 31ST DECEMBER 2023

3. Scrip Code/Name of the Security : ALPSINDUS of NSE and 530715 of BSE /Equity Shares

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1. Name of Listed Entity : ALPS INDUSTRIES LIMITED
2. Quarter ending : 31ST DECEMBER 2023

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								(IX) No of Voting Rights	Total	Total as a % of Total Voting Rights			(a) No.	(b) As a % of total Shares held	(a) No.	(b) As a % of total Shares held		
(A)	Promoter & Promoter Group	19	8686018	0	0	8686018	22.21	Class eq: X 8686018	Class eq: Y 0	Total 8686018	22.21	0	0	0	0	4019018	46.27	8686018
(B)	Public	15558	30428082	0	0	30428082	77.79	30428082	0	30428082	77.79	0	0	0	0	0	0	30357233
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	25577	39114100	0	0	39114100	100	39114100	0	39114100	100	0	0	0	0	4019018	46.27	39043251



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Table II - Statement showing shareholding matters of the Promoter and Promoter Group

Category and name of shareholder	Entry type i.e. promoter OR Promoter group entity (except promoter)	PAN/Sec note (below)	Nos. of shares held	No. of fully paid up equity shares held	No. of Party paid-up equity shares held	No. of shares underlying Deposit or Receipts	Total nos. shares held	Shareholding % calculate as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities		Total as a % of Total Voting Rights	No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares (XV)		
									Class eq. X	Class eq. Y				(a)	(b)	(a)	(b)		Shareholding (i) shares	Sub-categorization (ii)	Sub-categorization (iii)
Indian Individual/Hindu undivided Family	Promoter		2856028	2856028	0	0	2856028	7.3	0	0	2856028	7.3	0	0	0	0	0	2856028	0	0	0
K. K. AGARWAL (HUF)	Promoter	AAABHK7891K	117000	117000	0	0	117000	0.3	0	0	117000	0.3	0	0	0	0	0	117000	0	0	0
SANDEEP AGARWAL(HUF)	Promoter	AAADHS9672P	44380	44380	0	0	44380	0.11	0	0	44380	0.11	0	0	0	0	0	44380	0	0	0
NIDHI AGARWAL	Promoter	AAKP42949J	216100	216100	0	0	216100	0.55	0	0	216100	0.55	0	0	0	0	0	216100	0	0	0
K K AGARWAL	Promoter	AAAPPA5044B	1147460	1147460	0	0	1147460	2.93	0	0	1147460	2.93	0	0	0	0	0	1147460	0	0	0
SANDEEP AGARWAL	Promoter	ACEPA4737G	651510	651510	0	0	651510	1.67	0	0	651510	1.67	0	0	0	0	0	651510	0	0	0
SHANYOG AGARWAL	Promoter	ACGPA7178K	248650	248650	0	0	248650	0.64	0	0	248650	0.64	0	0	0	0	0	248650	0	0	0
ROHAN AGARWAL	Promoter	AUUPA3170F	430128	430128	0	0	430128	1.1	0	0	430128	1.1	0	0	0	0	0	430128	0	0	0
SUNANDINI AGARWAL	Promoter	AUJPA3156R	800	800	0	0	800	0	0	0	800	0	0	0	0	0	0	800	0	0	0
Central Government/ State Government(s)			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Financial Institutions/ Banks			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Any Other (specify)			11	5429990	0	0	5429990	14.91	0	0	5429990	14.91	0	0	0	0	0	5429990	0	0	0
800V COMPANYTE	Promoter Group	AAAACC8311R	700000	700000	0	0	700000	1.79	0	0	700000	1.79	0	0	0	0	0	700000	0	0	0
CORONATION SPINNING INDIA PVT LTD	Promoter Group	AAAACC8312N	295000	295000	0	0	295000	0.75	0	0	295000	0.75	0	0	0	0	0	295000	0	0	0
CAREEN FINTEC (P) LTD	Promoter Group	AAAACP4217H	188200	188200	0	0	188200	0.48	0	0	188200	0.48	0	0	0	0	0	188200	0	0	0
PADAM PRECISION DIES AND COMPONENTS PVT LTD	Promoter Group																				



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Table III - Statement showing shareholding pattern of the Public shareholder

Category and name of shareholder	PAN	Nos. of shareholders	No. of Fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in or otherwise encumbered			Number of equity shares held in dematerialized form	Sub-categorization of shares (XV)	
								Class eg: X	Class eg: Y	Total			(a)	(b)	(a)			(b)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi)	(xii)			(xiii)	(xiv)	(xv)
								No. of Voting Rights					Total as a % of Total Voting Rights					
1 Institutions (Domestic)								Class eg: X					Class eg: Y					
a Mutual Funds		3	300	0	0	300	0	0			0	0	0			0	0	0
b Venture Capital Funds		0	0	0	0	0	0	0			0	0	0			0	0	0
c Alternate Investment Funds		0	0	0	0	0	0	0			0	0	0			0	0	0
d Banks		0	0	0	0	0	0	0			0	0	0			0	0	0
e Insurance Companies		0	0	0	0	0	0	0			0	0	0			0	0	0
f Provident Funds/ Pension Funds		0	0	0	0	0	0	0			0	0	0			0	0	0
g Asset Reconstruction Companies		0	0	0	0	0	0	0			0	0	0			0	0	0
h Sovereign Wealth Funds		0	0	0	0	0	0	0			0	0	0			0	0	0
i NBFCs registered with RBI		0	0	0	0	0	0	0			0	0	0			0	0	0
j Other Financial Institutions		0	0	0	0	0	0	0			0	0	0			0	0	0
k Any Other (Specify)		0	0	0	0	0	0	0			0	0	0			0	0	0

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Table IV - Statement showing shareholding pattern of the Non Promoter Non Public shareholder

Category and name of shareholder	PAN No.	No. of shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	Total nos. shares held	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of securities	Number of Voting Rights held in each class		Total as a % of Total Voting Rights	No. of Shares Underlying Outstanding convertible securities (including share capital) [including Warrants]	Shareholding % as a % assuming full conversion of convertible securities (as a % of (A+B+C2))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	Sub-categorization of shares (XV)		
								No. of Voting Rights	Class e.g. X				(a)	(b)	(a)	(b)		Sub-categorization (i)	Sub-categorization (ii)	Sub-categorization (iii)
1 Custodian/ DR Holder																				
a Name of DR Holder (if available)		0	0	0	0	0	0			0								0	0	0
Sub-Total (C11)		0	0	0	0	0	0			0								0	0	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0			0								0	0	0
Sub-Total (C12)		0	0	0	0	0	0			0								0	0	0
Total Non-Promoter- Non Public Shareholding (C11+C12)		0	0	0	0	0	0			0								0	0	0

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Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO (i)			Details of the registered owner (ii)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* : (iii)				Date of creation / acquisition of significant beneficial interest#	
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Whether by virtue of Rights on distributable dividend or any other distribution	Exercise of control		Exercise of significant influence
1	KRISHAN KUMAR AGARWAL	AAPPA5044B	Indian	K. K. AGARWAL (HUF)	AABHK7991K	Indian	0.3					January 13, 2024
2	KRISHAN KUMAR AGARWAL	AAPPA5044B	Indian	CORONATION SPINNING INDIA PRIVATE LIMITED	AAACC8311R	Indian	1.79					January 13, 2024
3	KRISHAN KUMAR AGARWAL	AAPPA5044B	Indian	SUPREME FINVEST PRIVATE LIMITED	AAACC0471N	Indian	0.36					January 13, 2024
4	KRISHAN KUMAR AGARWAL	AAPPA5044B	Indian	NARAD FABRIC PRIVATE LIMITED	AABCA7512R	Indian	0.64					January 13, 2024
5	SANYOG AGARWAL	ACGPA7178K	Indian	PADAM PRECISION DIES AND COMPONENTS PRIVATE LIMITED	AAACP4217H	Indian	0.48					January 13, 2024
6	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK TEXFAB PRIVATE LIMITED	AABCA6857F	Indian	0.26					January 13, 2024
7	SANYOG AGARWAL	ACGPA7178K	Indian	PEEK FINVEST PRIVATE LIMITED	AAACP8959Q	Indian	0.87					January 13, 2024
8	SANYOG AGARWAL	ACGPA7178K	Indian	PREFECT FINMEN SERVICES PRIVATE LIMITED	AAACP8958R	Indian	1.06					January 13, 2024
9	SANYOG AGARWAL	ACGPA7178K	Indian	ROSEAT FINVEST PRIVATE LIMITED	AAACR7175G	Indian	0.98					January 13, 2024
10	SANYOG AGARWAL	ACGPA7178K	Indian	SAURABH FLORICULTURE PRIVATE LIMITED	AACCS6111R	Indian	0.47					January 13, 2024
11	SANDEEP AGARWAL	ACEPA4737G	Indian	SANDEEP AGARWAL(HUF)	AADHS9672P	Indian	0.11					January 13, 2024
12	SANDEEP AGARWAL	ACEPA4737G	Indian	CAREEN FINTEC PRIVATE LIMITED	AAACC8312N	Indian	0.75					January 13, 2024
13	SANDEEP AGARWAL	ACEPA4737G	Indian	PACIFIC TEXMARK PRIVATE LIMITED	AABCA7511N	Indian	7.25					January 13, 2024

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar. It is confirmed that date mentioned above is the date which is filled into the respective Form BEN 2 submitted to the Registrar for the said company. Further some promoters have sold part of their shares during the quarter ended December 31, 2023 resulting change of promoters shareholding. As per the requirements, company has filed the modification in the BEN-2 with the ROC on January 12, 2024, the original form BEN 2 filed on February 8, 2019 to declare the revised voting rights of Significant Beneficial Owners.

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Annexure B

Table VI - Statement showing foreign ownership limits

	Board approved limits	Limits utilized
As on shareholding date i.e. 31.12.2023	100%	2.78%
As on the end of previous 1st quarter i.e. 30.06.2022	100%	1.22%
As on the end of previous 2nd quarter i.e. 30.09.2022	100%	1.21%
As on the end of previous 3rd quarter i.e. 31.12.2022	100%	1.22%
As on the end of previous 4th quarter i.e. 31.03.2023	100%	1.19%



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