

# Alps Industries Ltd.

REGD./CORP. OFFICE

57/2, Site-IV, Industrial Area

Sahibabad, Ghaziabad - 201010

Uttar Pradesh (India)

ALPS/091/SE11/2021-22

July 08, 2021

<p>The General Manager Market Operations Deptt., <b>National Stock Exchange of India Ltd.,</b> Exchange Plaza, 5th Floor, Plot No. C/1, G-Block, Bandra-Kurla Complex Bandra (E), <b>Mumbai - 400 051</b> Ph - 91-22-2659 8101 - 8114 Fax : 022 - 26598237/38/ 2659 8100 Email - cmlist@nse.co.in</p>	<p>The Relationship Manager Corporate Relationship Deptt., <b>Bombay Stock Exchange Limited,</b> 1<sup>ST</sup> Floor New Trading Ring, Rotunda Building, P. J. Towers, Dalal Street, Fort, <b>Mumbai-400 001.</b> Ph - 022-22728995 Fax: 022 - 22723121 / 3719/2037/2039/2041/2061 Email - intern.erika@bseindia.com , corp.relations@bseindia.com</p>
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**SUB : SUBMISSION OF CORPORATE GOVERNANCE REPORT UNDER REGULATION 27(2) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE QUARTER ENDED ON JUNE 30, 2021.**

Dear Sir,

As required under the Regulation 27(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the following document:-


1. Quarterly Compliance Report on Corporate Governance for the Quarter ended on June 30, 2021.

We hope you will find the same in order.

Thanking you,

Yours faithfully,

For **ALPS INDUSTRIES LIMITED**

  
(Ajay Gupta)  
Company Secretary  
& General Manager - Legal



Encl. a/a.

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CIN : L51109UP1972PLC003544

# Alps Industries Ltd.

REGD./CORP. OFFICE  
57/2, Site-IV, Industrial Area  
Sahibabad, Ghaziabad - 201010  
Uttar Pradesh (India)

## CORPORATE GOVERNANCE REPORT

1. Name of Listed Entity : **Alps Industries Limited**
2. Quarter ending : **JUNE 30, 2021**

### I. Composition of Board of Directors

Title (Mr / Ms)	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non-Executive/ independent / Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure*	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Sandeep Agarwal	00139439 & ACEPA4737G	Managing Director	01/01/1993	01/04/2020	-	Not Applicable	24-08-1964	1	0	2	0
Mr.	Pramod Kumar Rajput	00597342 & AAAPR4916R	Whole Time Director	11/12/2009	29/09/2020	-	Not Applicable	06-06-1956	1	0	0	0
Mr.	Prabhat Krishna	02569624 & AAKPP4573F	Independent Director	31/07/2009	11/02/2018	-	40 Months	06-11-1947	1	1	2	2
Mr.	Tilak Raj Khosla	02724242 & ACEPK5528K	Independent Director	31/07/2009	11/02/2018	-	40 Months	19-03-1947	1	1	2	0
Mr.	Pradyumn Kumar Lamba	02843166 & AANPL8667R	Independent Director	12/12/2009	11/02/2018	-	40 Months	15-01-1948	1	1	2	0

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Ms.	Deepika Shergill	07093795 & AAAP03953E	Independent Director	11/02/2015	11/02/2018 (For 5 Years)	-	40 Months	11-08-1962	1	1	0	0
Whether Regular chairperson appointed: <b>No</b>												
Whether Chairperson is related to managing director or CEO: <b>No</b>												

## II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-
		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-
2. Nomination & Remuneration Committee	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-
<b>3. Risk Management Committee (if applicable)</b>	<b>Not Applicable</b>				
4. Stakeholders Relationship Committee'	No	Mr. Prabhat Krishna	Chairperson of the committee & Independent Director-Member	31-07-2009	-
		Mr. Sandeep Agarwal	Managing Director & Member	01-01-1993	-
		Mr. Tilak Raj Khosla	Independent Director & Member	31-07-2009	-
		Mr. Pradyumn Kumar Lamba	Independent Director & Member	12-12-2009	-

## III. Meeting of Board of Directors



<i>Date(s) of Meeting (if any) in the previous quarter</i>	<i>Date(s) of Meeting (if any) in the relevant quarter</i>	<i>Whether requirement of Quorum met</i>	<i>Number of Directors present</i>	<i>Number of independent directors present</i>	<i>Maximum gap between any two consecutive (in number of days)</i>
12-02-2021	a. 09-06-2021	Yes	6	4	116 days
	b. 30-06-2021	Yes	6	4	20 days

#### **IV. Meetings of Committees**

##### **1. AUDIT COMMITTEE**

<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Number of Directors present</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings (in number of days)</i>
a. 09-06-2021	Yes	4	4	12-02-2021	116 days
b. 30-06-2021	Yes	4	4		20 days

##### **2. STAKEHOLDER RELATIONSHIP COMMITTEE**

<i>Date(s) of meeting of the committee in the relevant quarter</i>	<i>Whether requirement of Quorum met (details)</i>	<i>Number of Directors present</i>	<i>Number of independent directors present</i>	<i>Date(s) of meeting of the committee in the previous quarter</i>	<i>Maximum gap between any two consecutive meetings (in number of days)</i>
a. 09-06-2021	Yes	4	4	12-02-2021	116 days
b. 30-06-2021	Yes	4	4		20 days

#### **V. Related Party Transactions**

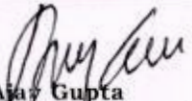
<b>Subject</b>	<b>Compliance status (Yes/No/NA)</b>
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	YES

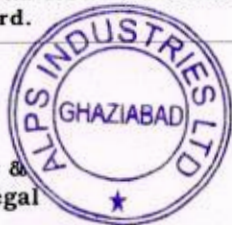
#### **VI. Affirmations**



1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
  - d. Risk management committee (applicable to the top 100 listed entities): **Not Applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI(Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here. **Report was placed before the board and adopted by the board.**

  
Ajay Gupta  
Company Secretary &  
General Manager-Legal



Date: 08.07.2021  
Place: Ghaziabad