



# Alps Industries Limited

(A Government Recognised Golden Trading House)  
An ISO 14001 & ISO 9001 Company

57/2, Site-IV, Industrial Area Sahibabad, Ghaziabad-201010 INDIA  
Phones : 91-120-4161700 (60 Lines) Fax : 91-120-2895299, 2896041  
E-mail : alps@alpsindustries.com

l) (a)

## SHAREHOLDING PATTERN

Introductory sub-table (I)(a)

Name of the Company : Alps Industries Limited

Scrip Code, Name of the Scrip, Class of the Security : NSE -ALPSINDUS & BSE -530715 - ALPSIND, Alps Industries Limited, Equity  
Quarter Ended: Shareholding Pattern as on March 31, 2014.

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities</b>	<b>39,114,100</b>	<b>0.00</b>	<b>100.00</b>

Note: In terms of the CDR scheme of the company and terms of issue 26,934,146 6% Optionally Convertible Cumulative Redeemable Preference Shares (6% OCCPS) issued on 22.08.2012 has been converted into 6% Cumulative Redeemable Preference share (6% CRPS) both are under category 'B' on 22.02.2014 as none of the Bankers have exercised the option to convert the OCCPS in to equity shares before the last date of option i.e 21.02.2014. Now there is no convertible security outstanding as on date .



For Alps Industries Limited

  
AJAY GUPTA

(Company Secretary &  
General Manager-Legal)



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Statement Showing Shareholding Pattern as on March 31, 2014

Category Code	Category of Shareholder	Number of shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a Percentage of (A+B) <sup>1</sup>	As a Percentage of (A+B+C)	Number of Shares	As a Percentage IX=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals / Hindu Undivided Family (HUF)	8	2,906,028	2,906,028	7.43	7.43	2,406,028	82.79
(b)	Central Government / State Government	-	-	-	-	-	-	-
(c)	Bodies Corporate	11	7,679,990	7,679,990	19.63	19.63	5,179,990	67.45
(d)	Financial Institutions / Banks	-	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-	-
	<b>Sub - Total A (1)</b>	<b>19</b>	<b>10,586,018</b>	<b>10,586,018</b>	<b>27.06</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-	-
	<b>Sub - Total A (2)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Total Shareholding of promoter and promoter group (A)=A(1)+A(2)</b>	<b>19</b>	<b>10,586,018</b>	<b>10,586,018</b>	<b>27.06</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>
<b>(B)</b>	<b>Public shareholding<sup>3</sup></b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	3	300	100	0.00	0.00	-	-
(b)	Financial Institutions / Banks	1	200	200	0.00	0.00	-	-
(c)	Central Government/State Government(s)	1	100	100	0.00	0.00	-	-
(d)	Venture Capital Funds	-	-	-	-	-	-	-
(e)	Insurance Companies (FI Govt. Sponsered)	2	1,993,738	1,993,738	5.10	5.10	-	-
(f)	Foreign Institutional Investors (FIIs)	-	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-	-
(i)	Any other (specify)	-	-	-	-	-	-	-
	<b>Sub - Total B (1)</b>	<b>7</b>	<b>1,994,338</b>	<b>1,994,138</b>	<b>5.10</b>	<b>5.10</b>	<b>-</b>	<b>-</b>
<b>(2)</b>	<b>Non-institutions</b>							
(a)	Body Corporate	378	3,049,477	3,044,257	7.80	7.80	6,000	0.20
(b)	Individuals							
i	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	23,475	13,669,157	13,605,368	34.95	34.95	-	-
ii	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	230	9,058,003	9,058,003	23.16	23.16	-	-
	<b>Total (bi+bii)</b>	<b>23,705</b>	<b>22,727,160</b>	<b>22,663,371</b>	<b>58</b>	<b>58</b>	<b>32,081</b>	<b>0.14</b>
(c)	Qualified Foreign Investor	277	757,107	728,562	1.94	1.94	-	-
(d)	Any Other (Total)	201	583,070	554,625	1.49	1.49	-	-
(d-i)	(NRI Repatriable) &	76	174,037	173,937	0.44	0.44	-	-
(d-ii)	(NRI Non Repatriable)	125	409,033	380,688	1.05	1.05	38,081	0.14
	<b>Sub - Total B (2)</b>	<b>24,360</b>	<b>26,533,744</b>	<b>26,436,190</b>	<b>67.84</b>	<b>67.84</b>	<b>38,081</b>	<b>0.14</b>
	<b>Total Public Shareholding (B) = B(1)+B(2)</b>	<b>24,367</b>	<b>28,528,082</b>	<b>28,430,328</b>	<b>72.94</b>	<b>72.94</b>	<b>38,081</b>	<b>0.13</b>
<b>(C)</b>	<b>Shares held by custodians and against which depository receipts have been issued.</b>							
1	Promoter & Promoter Group	-	-	-	-	-	-	-
2	Public	-	-	-	-	-	-	-
	<b>Sub - Total (C)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
	<b>Grand Total (A+B)</b>	<b>24,386</b>	<b>39,114,100</b>	<b>39,016,346</b>	<b>100.00</b>	<b>100.00</b>	<b>7,624,099</b>	<b>19.49</b>



For Alps Industries Limited

*Ajay Gupta*  
AJAY GUPTA

(Company Secretary &  
General Manager-legal)

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(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholders	Total Shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No of Shares as on 31.03.2014	As a % of grand total (A+B)	No of Shares as on 31.03.2014	As a percentage (VI=V/III*100)	As a % of grand total of sub clause (i) (a) (VII)	Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
<b>(Promoter Individual/HUF)</b>											
1	1 K.K. Agarwal & Sons (H U F)	117,000	0.30	67,000	57.28	0.17	-	-	-	-	0.30
2	2 K. K. Agarwal	1,147,460	2.93	1,147,460	100.00	2.93	-	-	-	-	2.93
3	3 Nidhi Agarwal	216,100	0.55	16,100	7.45	0.04	-	-	-	-	0.55
4	4 Rohan Agarwal	430,128	1.10	230,128	53.50	0.59	-	-	-	-	1.10
5	5 Sandeep Agarwal	651,510	1.67	651,510	100.00	1.67	-	-	-	-	1.67
6	6 Sandeep Agarwal (HUF)	94,380	0.24	44,380	47.02	0.11	-	-	-	-	0.24
7	7 Sanyog Agarwal	248,650	0.64	248,650	100.00	0.64	-	-	-	-	0.64
8	8 Sunandini Agarwal	800	0.00	800	100.00	0.00	-	-	-	-	0.00
	<b>Total (A)</b>	<b>2,906,028</b>	<b>7.43</b>	<b>2,406,028</b>	<b>82.79</b>	<b>6.15</b>	-	-	-	-	<b>7.43</b>
<b>(Promoter Body Corporate)</b>											
1	9 Alps Processors Pvt. Ltd.	250,116	0.64	250,116	100.00	0.64	-	-	-	-	0.64
2	10 Careen Fintec (P) Ltd.	295,000	0.75	295,000	100.00	0.75	-	-	-	-	0.75
3	11 Coronation Spinning India Pvt Ltd	900,000	2.30	300,000	33.33	0.77	-	-	-	-	2.30
4	12 Pacific Texmark Pvt. Ltd. (Formerly known as Alps Infin (P) Ltd.)	2,835,872	7.25	2,835,872	100.00	7.25	-	-	-	-	7.25
5	13 Padam Precision Dies & Components (P) Ltd.	1,688,200	4.32	188,200	11.15	0.48	-	-	-	-	4.32
6	14 Peek Finvest (P) Ltd.	342,082	0.87	342,082	100.00	0.87	-	-	-	-	0.87
7	15 Peek Texfab Ltd.	100,120	0.26	120	0.12	0.00	-	-	-	-	0.26
8	16 Prefect Finmen Services (P) Ltd.	412,800	1.06	262,800	63.66	0.67	-	-	-	-	1.06
9	17 Roseat Finvest (P) Ltd.	382,000	0.98	382,000	100.00	0.98	-	-	-	-	0.98
10	18 Saurabh Floriculture (Pvt) Ltd.	183,800	0.47	183,800	100.00	0.47	-	-	-	-	0.47
11	19 Supreme Finvest (Pvt) Ltd.	290,000	0.74	140,000	48.28	0.36	-	-	-	-	0.74
	<b>Total (B)</b>	<b>7,679,990</b>	<b>19.63</b>	<b>5,179,990</b>	<b>67.45</b>	<b>13.24</b>	-	-	-	-	<b>19.63</b>
	<b>Grand Total (A+B)</b>	<b>10,586,018</b>	<b>27.06</b>	<b>7,586,018</b>	<b>71.66</b>	<b>19.39</b>	-	-	-	-	<b>27.06</b>





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(c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on March 31, 2014.

Sl. NO.	Name of the Shareholder	No. of Shares	Shares as a %age of total number of shares (i.e. Grand Total A+B+C indicated in statement at para (i) (a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
	FI (GOVT. SPONSERED FI)							
1	GENERAL INSURANCE CORPORATION OF INDIA	1,000,000	2.56	-	-	-	-	2.56
2	UNITED INDIA INSURANCE COMPANY LTD.	993,738	2.54	-	-	-	-	2.54
								-
	<b>TOTAL (A)</b>	<b>1,993,738</b>	<b>5.10</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>5.10</b>
								-
								-
	NON INSTITUTIONS-CORPORATE BODY DOMESTIC							-
1	BJD SECURITIES PRIVATE LTD	482,630	1.23					1.23
								-
	INDIVIDUAL SHAREHOLDERS - RESIDENT ORDINARY							-
1	ANIL KUMAR MISRA	959,810	2.45	-	-	-	-	2.45
2	SUNIL KUMAR MALIK	413,383	1.06	-	-	-	-	1.06
3	BHARAT JAMNADAS DATTANI	468,887	1.20					1.20
	<b>TOTAL(C)</b>	<b>1,842,080</b>	<b>4.71</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4.71</b>
								-
	<b>GRAND TOTAL (A+B)</b>	<b>4,318,448</b>	<b>11.04</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>11.04</b>





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(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company as on March 31, 2014

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
-----NIL-----								

Note: In terms of the CDR scheme of the company and terms of issue 26,934,146 6% Optionally Convertible Cumulative Redeemable Preference Shares (6%OCCPS) issued on 22.08.2012 has been converted into 6% Cumulative Redeemable Preference share (6% CRPS) both are under category 'B' on 22.02.2014 as none of the Bankers have exercise the option to convert the OCCPS in to equity shares before the last date of option i.e 21.02.2014. Now there is no convertible security outstanding as on date .





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(l) (d) Details of locked-in shares as on March 31, 2014

SL. NO.	Name of the Shareholder	Category of Shareholder (Promoters/Public)	No. of locked-in shares	Locked-in Shares as a %age of total number of shares (i.e. Grand Total A+B+C indicated in statement at para (l) (a) above)	Locked-in Period	
					To	From
-----NIL-----						
TOTAL						





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(a) Details of Depository Receipts (DRs) as on March 31, 2014

S.L. NO.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				





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(II) (b) Statement Showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares as on March 31, 2014

SL. NO.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				







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(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer as on March 31, 2014

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class Equity	Class Y	Class Z		Percentage of (A+B) <sup>1</sup>	Percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family (HUF)	2,906,028	-	-	2,906,028	7.43	7.43
(b)	Central Government / State Government	-	-	-	-	-	-
(c)	Bodies Corporate	7,679,990	-	-	7,679,990	19.63	19.63
(d)	Financial Institutions / Banks	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (1)	10,586,018	-	-	10,586,018	27.06	27.06
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (2)	-	-	-	-	-	-
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	10,586,018	-	-	10,586,018	27.06	27.06
(B)	Public shareholding <sup>3</sup>						
(1)	Institutions						
(a)	Mutual Funds/ UTI	300	-	-	300	0.00	0.00
(b)	Financial Institutions / Banks	200	-	-	200	0.00	0.00
(c)	Central Government/State Government(s)	100	-	-	100	0.00	0.00
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies (FI Govt. Sponsered)	1,993,738	-	-	1,993,738	5.10	5.10
(f)	Foreign Institutional Investors (FIIs)	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-
(i)	Any other (specify)	-	-	-	-	-	-
	Sub - Total B (1)	1,994,338	-	-	1,994,338	5.10	5.10
(2)	Non-Institutions						
(a)	Body Corporate	3,049,477	-	-	3,049,477	7.80	7.80
(b)	Individuals						
I	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	13,669,157	-	-	13,669,157	34.95	34.95
II	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	9,058,003	-	-	9,058,003	23.16	23.16
	Total(bI+biI)	22,727,160	-	-	22,727,160	58.10	58.10
(c)	Qualified Foreign Investor	-	-	-	-	-	-
(d)	Any Other(Total)	757,107	-	-	757,107	1.94	1.94
(d-i)	(NRI Repatriable)	583,070	-	-	583,070	1.49	1.49
(d-ii)	(NRI Non Repatriable)	174,037	-	-	174,037	0.44	0.44
	Sub - Total B (2)	26,533,744	-	-	26,533,744	67.84	67.84
	Total Public Shareholding (B) = B(1)+B(2)	28,528,082	-	-	28,528,082	72.94	72.94
(C)	Shares held by custodians and against which depository receipts have been issued.						
1	Promoter & Promoter Group	-	-	-	-	-	-
2	Public	-	-	-	-	-	-
	Sub - Total (C)	-	-	-	-	-	-
	Grand Total (A+B)	39,114,100	-	-	39,114,100	100.00	100.00

Regd. Office : B-2, Loni Road Indl. Area (Opp.Mohan Nagar) Ghaziabad - 201 007  
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