

(l) (a)

SHAREHOLDING PATTERN

Introductory sub-table (l)(a)

Name of the Company : Alps Industries Limited

Scrip Code, Name of the Scrip, Class of the Security : NSE -ALPSINDUS & BSE -530715 - ALPSIND, Alps Industries Limited, Equity
Quarter Ended: Shareholding Pattern as on december 31., 2012

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	155,421,936	100.00	79.89
Total	155,421,936	100.00	79.89
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	194,536,036	100.00	100.00

Category Code	Category of Shareholder	Number of shareholders	Table I(a)		Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered			
			Total Number of Shares	Number of shares held in dematerialized form	As a Percentage of (A+B) ¹	As a Percentage of (A+B+C)	Number of Shares	As a Percentage IX=(VIII)/(IV)*100		
			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Shareholding of Promoter and Promoter Group²									
(1)	Indian									
	(a) Individuals / Hindu Undivided Family (HUF)	8	2,906,028	2,906,028	7.43	7.43	2,406,028	82.79		
	(b) Central Government / State Government	-	-	-	-	-	-	-		
	(c) Bodies Corporate	11	5,679,990	5,679,990	14.52	14.52	5,179,990	91.20		
	(d) Financial Institutions / Banks	-	-	-	-	-	-	-		
	(e) Any Other (Specify)	-	-	-	-	-	-	-		
	Sub - Total A (1)	19	8,586,018	8,586,018	21.95	21.95	7,586,018	88.35		
(2)	Foreign									
	(a) Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-		
	(b) Bodies Corporate	1	2,000,000	2,000,000	5.11	5.11	-	-		
	(c) Institutions	-	-	-	-	-	-	-		
	(d) Qualified Foreign Investor	-	-	-	-	-	-	-		
	(e) Any Other (Specify)	-	-	-	-	-	-	-		
	Sub - Total A (2)	1	2,000,000	2,000,000	5.11	5.11	-	-		
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	20	10,586,018	10,586,018	27.06	27.06	7,586,018	71.66		
(B)	Public shareholding³									
(1)	Institutions									
	(a) Mutual Funds/ UTI	3	300	100	0.00	0.00	-	-		
	(b) Financial Institutions / Banks	1	200	200	0.00	0.00	-	-		
	(c) Central Government/State Government(s)	1	100	100	0.00	0.00	-	-		
	(d) Venture Capital Funds	-	-	-	-	-	-	-		
	(e) Insurance Companies (FI Govt. Sponsored)	2	2,028,738	2,028,738	5.19	5.19	-	-		
	(f) Foreign Institutional Investors (FIIs)	-	-	-	-	-	-	-		
	(g) Foreign Venture Capital Investors	-	-	-	-	-	-	-		
	(h) Qualified Foreign Investor	-	-	-	-	-	-	-		
	(i) Any other (specify)	-	-	-	-	-	-	-		
	Sub - Total B (1)	7	2,029,338	2,029,138	5.19	5.19	-	-		
(2)	Non-Institutions									
	(a) Body Corporate	450	3,659,236	3,654,016	9.36	9.36	6,000	0.16		
	(b) Individuals									
	I Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	24,512	14,016,723	13,952,524	35.84	35.84	-	-		
	II (ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	214	8,111,192	8,111,192	20.74	20.74	-	-		
	Total (bI+bII)	24,726	22,127,915	22,063,716	57	57	49,233	0.22		
	(c) Qualified Foreign Investor	-	-	-	-	-	-	-		
	(d) Any Other(Total)	296	711,593	683,048	1.82	1.82	-	-		
	(d-i) (NRI Repatriable) &	226	664,372	635,927	1.70	1.70	-	-		
	(d-ii) (NRI Non Repatriable)	70	47,221	47,121	0.12	0.12	-	-		
	Sub - Total B (2)	25,472	26,498,744	26,400,780	67.75	67.75	7,641,251	28.84		
	Total Public Shareholding (B) = B(1)+B(2)	25,479	28,528,082	28,429,918	72.94	72.94	7,641,251	26.79		
(C)	Shares held by custodians and against which depository receipts have been issued.									
	1 Promoter & Promoter Group	-	-	-	-	-	-	-		
	2 Public	-	-	-	-	-	-	-		
	Sub - Total (C)	-	-	-	-	-	-	-		
	Grand Total (A+B)	25,499	39,114,100	39,015,936	100.00	100.00	7,641,251	19.54		

(I) (b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the Shareholders	Total Shares held		Shares pledged or otherwise encumbered			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		No of Shares as on 31.12.2012	As a % of grand total (A+B+C)	No of Shares as on 31.12.2012	As a percentage (VI=V/III*100)	As a % of grand total of sub clause (i) (a) (VII)	Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
(Promoter Individual/HUF)											
1	1	K.K. Agarwal & Sons (H U F)	117,000	0.30	67,000	57.26	0.17	-	-	-	0.06
2	2	K. K. Agarwal	1,147,460	2.93	1,147,460	100.00	2.93	-	-	-	0.59
3	3	Nidhi Agarwal	216,100	0.55	16,100	7.45	0.04	-	-	-	0.11
4	4	Rohan Agarwal	430,128	1.10	230,128	53.50	0.59	-	-	-	0.22
5	5	Sandeep Agarwal	651,510	1.67	651,510	100.00	1.67	-	-	-	0.33
6	6	Sandeep Agarwal (HUF)	94,380	0.24	44,380	47.02	0.11	-	-	-	0.05
7	7	Sanyog Agarwal	248,650	0.64	248,650	100.00	0.64	-	-	-	0.13
8	8	Sunandini Agarwal	800	0.00	800	100.00	0.00	-	-	-	0.00
		Total (A)	2,906,028	7.43	2,406,028	82.79	6.15	-	-	-	1.49
(Promoter Body Corporate)											
1	9	Alps Processers Pvt. Ltd.	250,116	0.64	250,116	100.00	0.64	-	-	-	0.13
2	10	Careen Fintec (P) Ltd.	295,000	0.75	295,000	100.00	0.75	-	-	-	0.15
3	11	Coronation Spinning India Pvt Ltd	400,000	1.02	300,000	75.00	0.77	-	-	-	0.21
4	12	Pacific Texmark Pvt. Ltd. (Formerly known as Alps Infin (P) Ltd.)	2,835,872	7.25	2,835,872	100.00	7.25	-	-	-	1.46
5	13	Padam Precision Dies & Components (P) Ltd.	188,200	0.48	188,200	100.00	0.48	-	-	-	0.10
6	14	Peek Finvest (P) Ltd.	342,082	0.875	342,082	100.00	0.87	-	-	-	0.18
7	15	Peek Texlab Ltd.	100,120	0.26	120	0.12	0.00	-	-	-	0.05
8	16	Prefect Finmen Services (P) Ltd.	412,800	1.06	262,800	63.66	0.67	-	-	-	0.21
9	17	Roseat Finvest (P) Ltd.	382,000	0.98	382,000	100.00	0.98	-	-	-	0.20
10	18	Saurabh Floriculture (Pvt) Ltd.	183,800	0.47	183,800	100.00	0.47	-	-	-	0.09
11	19	Supreme Finvest (Pvt) Ltd.	290,000	0.74	140,000	48.28	0.36	-	-	-	0.15
		Total (B)	5,679,990	14.52	5,179,990	91.20	13.24	-	-	-	2.92
(Promoter Foreign Body Corporate)											
1	20	Billsworth Investments Pvt. Ltd., Singapore	2,000,000	5.11	-	-	-	-	-	-	1.03
		Total (C)	2,000,000	5.11	-	-	-	-	-	-	1.03
		Grand Total (A+B+C)	10,586,018	27.06	7,586,018	71.66	19.39	-	-	-	5.44

(l) (c) (i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on December 31, 2012.

SL. NO.	Name of the Shareholder	No. of Shares	Shares as a %age of total number of shares {I.e. Grand Total A+B+C indicated in statement at para (l) (a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a percentage total number of warrants of the same class	Number of convertible securities held	As a percentage total number of warrants of the same class	
A.	FI (GOVT. SPONSERED FI)							
1	GENERAL INSURANCE CORPORATION OF INDIA	1,000,000	2.56	-	-	-	-	0.51
2	UNITED INDIA INSURANCE COMPANY LTD.	1,028,738	2.63	-	-	-	-	0.53
	TOTAL (A)	2,028,738	5.19	-	-	-	-	1.04
C.	NON INSTITUTIONS							
	INDIVIDUAL SHAREHOLDERS - RESIDENT ORDINARY							
1	ANIL KUMAR MISRA	429,229	1.10	-	-	-	-	0.22
2	SUNIL KUMAR MALIK	955,639	2.44	-	-	-	-	0.49
	TOTAL(C)	1,384,868	3.54	-	-	-	-	0.71
	GRAND TOTAL (A+B+C)	3,413,606	8.73	-	-	-	-	1.75

(l) (d) Details of locked-in shares as on December 31, 2012

SL. NO.	Name of the Shareholder	Category of Shareholder (Promoters/Public)	No. of locked-in shares	Locked-in Shares as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (l) (a) above}	Locked-in Period	
					To	From
		-----NIL-----				
TOTAL						

(II) (a) Details of Depository Receipts (DRs) as on December 31, 2012

SL. NO.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				

(II) (b) Statement Showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares as on December 31, 2012

SL. NO.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {I.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer as on December 31, 2012

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class Equity	Class Y	Class Z		Percentage of (A+B) ¹	Percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals / Hindu Undivided Family (HUF)	2,906,028	-	-	2,906,028	7.43	7.43
(b)	Central Government / State Government	-	-	-	-	-	-
(c)	Bodies Corporate	5,679,990	-	-	5,679,990	14.52	14.52
(d)	Financial Institutions / Banks	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (1)	8,586,018	-	-	8,586,018	21.95	21.95
(2)	Foreign						
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-
(b)	Bodies Corporate	2,000,000	-	-	2,000,000	5.11	5.11
(c)	Institutions	-	-	-	-	-	-
(d)	Qualified Foreign Investor	-	-	-	-	-	-
(e)	Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (2)	2,000,000	-	-	2,000,000	5.11	5.11
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	10,586,018	-	-	10,586,018	27.06	27.06
(B)	Public shareholding ³						
(1)	Institutions						
(a)	Mutual Funds/ UTI	300	-	-	300	0.00	0.00
(b)	Financial Institutions / Banks	200	-	-	200	0.00	0.00
(c)	Central Government/State Government(s)	100	-	-	100	0.00	0.00
(d)	Venture Capital Funds	-	-	-	-	-	-
(e)	Insurance Companies (FI Govt. Sponsered)	2,028,738	-	-	2,028,738	5.19	5.19
(f)	Foreign Institutional Investors (FIIs)	-	-	-	-	-	-
(g)	Foreign Venture Capital Investors	-	-	-	-	-	-
(h)	Qualified Foreign Investor	-	-	-	-	-	-
(i)	Any other (specify)	-	-	-	-	-	-
	Sub - Total B (1)	2,029,338	-	-	2,029,338	5.19	5.19
(2)	Non-Institutions						
(a)	Body Corporate	3,659,236	-	-	3,659,236	9.36	9.36
(b)	Individuals						
I	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	14,016,723	-	-	14,016,723	35.84	35.84
II	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	8,111,192	-	-	8,111,192	20.74	20.74
	Total(b+bi)	22,127,915			22,127,915	56.57	56.57
(c)	Qualified Foreign Investor	-	-	-	-	-	-
(d)	Any Other(Total)	711,593	-	-	711,593	1.82	1.82
(d-i)	(NRI Repatriable)	664,372	-	-	664,372	1.70	1.70
(d-ii)	(NRI Non Repatriable)	47,221	-	-	47,221	0.12	0.12
	Sub - Total B (2)	26,498,744	-	-	26,498,744	67.75	67.75
	Total Public Shareholding (B) = B(1)+B(2)	28,528,082	-	-	28,528,082	72.94	72.94
(C)	Shares held by custodians and against which depository receipts have been issued.						
1	Promoter & Promoter Group	-	-	-	-	-	-
2	Public	-	-	-	-	-	-
	Sub - Total (C)	-	-	-	-	-	-
	Grand Total (A+B)	39,114,100	-	-	39,114,100	100.00	100.00