

(I) (a) SHAREHOLDING PATTERN

Name of the Company : Alps Industries Limited

Scrip Code, Name of the Scrip, Class of the Security : NSE -ALPSINDUS & BSE -530715 - ALPSIND, Alps Industries Limited, Equity

Quarter Ended : Shareholding Pattern as on September 30, 2011

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0.00	0.00	0.00
Held by public	0.00	0.00	0.00
Total	0.00	0.00	0.00
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	0.00	0.00	0.00

Category Code	Category of Shareholder	Number of shareholders	Total Number of Shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					Percentage of (A+B) ¹	Percentage of (A+B+C)	Number of Shares	As a Percentage IX=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
a.	Individuals / Hindu Undivided Family (HUF)	8	2,906,028	2,906,028	7.43	7.43	2,406,028	82.79
b.	Central Government / State Government	-	-	-	-	-	-	-
c.	Bodies Corporate	11	5,679,990	5,679,990	14.52	14.52	5,179,990	91.20
d.	Financial Institutions / Banks	-	-	-	-	-	-	-
e.	Any Other (Specify)	-	-	-	-	-	-	-
	Sub - Total A (1)	19	8,586,018	8,586,018	21.95	21.95	7,586,018	88.35
(2)	Foreign							
a.	Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-	-
b.	Bodies Corporate	1	2,000,000	2,000,000	5.11	5.11	-	-
c.	Institutions	-	-	-	-	-	-	-
d.	Any Other (Specify)	-	-	-	-	-	-	-
	Sub - Total A (2)	1	2,000,000	2,000,000	5.11	5.11	-	-
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	20	10,586,018	10,586,018	27.06	27.07	7,586,018	71.66
(B)	Public shareholding³							
(1)	Institutions							
a.	Mutual Funds/ UTI	3	400	100	0.00	0.00	-	-
b.	Financial Institutions / Banks	1	200	200	0.00	0.00	-	-
c.	Central Government/State Government(s)	1	200	200	0.00	0.00	-	-
d.	Venture Capital Funds	-	-	-	-	-	-	-
e.	Insurance Companies (FI Govt. Sponsered)	2	2,028,738	2,028,738	5.19	5.19	-	-
f.	Foreign Institutional Investors (FIIs)	2	3,600,000	3,600,000	9.20	9.20	-	-
g.	Foreign Venture Capital Investors	-	-	-	-	-	-	-
h.	Any other (specify)	-	-	-	-	-	-	-
	Sub - Total B (1)	9	5,629,538	5,629,238	14.39	14.39	-	-
(2)	Non-Institutions							
a.	Body Corporate	472	3,037,641	3,032,321	7.77	7.77	13,530	0.45
b.	Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	25,772	14,007,498	13,935,298	35.81	35.81	38,265	0.27
c.	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	187	5,198,768	5,198,768	13.29	13.29	-	-
d.	Any Other (NRI Repatriable)	248	608,191	574,746	1.55	1.55	-	-
	(NRI Non Repatriable) &	70	46,446	46,346	0.12	0.12	-	-
	Sub - Total B (2)	26,749	22,898,544	22,787,479	58.54	58.54	51,795	0.23
	Total Public Shareholding (B) = B(1)+B(2)	26,758	28,528,082	28,416,717	72.94	72.94	51,795	0.18
(C)	Shares held by custodians and against which depository receipts have been issued.							
1.	Promoter & Promoter Group	-	-	-	-	-	-	-
2.	Public	-	-	-	-	-	-	-
	Sub - Total (C)	-	-	-	-	-	-	-
	Grand Total (A+B)	26,778	39,114,100	39,002,735	100.00	100.00	7,637,813	19.53

(I) (b) Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.		Name of the Shareholders	Total Shares held		Shares pledged or otherwise encumbered		
			No of Shares as on 30.09.2011	As a % of grand total (A+B+C)	No of Shares as on 30.09.2011	As a percentage (VI=V/III*100)	As a % of grand total of sub clause (i) (a) (VII)
(I)		(II)	(III)	(IV)	(V)	(VI)	(VII)
(Promoter Individual/HUF)							
1	1	K.K. Agarwal & Sons (H U F)	117,000	0.30	67,000	57.26	0.17
2	2	K. K. Agarwal	1,147,460	2.93	1,147,460	100.00	2.93
3	3	Nidhi Agarwal	216,100	0.55	16,100	7.45	0.04
4	4	Rohan Agarwal	430,128	1.10	230,128	53.50	0.59
5	5	Sandeep Agarwal	651,510	1.67	651,510	100.00	1.67
6	6	Sandeep Agarwal (HUF)	94,380	0.24	44,380	47.02	0.11
7	7	Sanyog Agarwal	248,650	0.64	248,650	100.00	0.64
8	8	Sunandini Agarwal	800	0.00	800	100.00	0.00
		Total (A)	2,906,028	7.43	2,406,028	82.79	6.15
(Promoter Body Corporate)							
1	9	Alps Processers Pvt. Ltd.	250,116	0.64	250,116	100.00	0.64
2	10	Careen Fintec (P) Ltd.	295,000	0.75	295,000	100.00	0.75
3	11	Coronation Spinning India Pvt Ltd	400,000	1.02	300,000	75.00	0.77
4	12	Pacific Texmark Pvt. Ltd. (Formerly known as Alps Infin (P) Ltd.)	2,835,872	7.25	2,835,872	100.00	7.25
5	13	Padam Precision Dies & Components (P) Ltd.	188,200	0.48	188,200	100.00	0.48
6	14	Peek Finvest (P) Ltd.	342,082	0.87	342,082	100.00	0.87
7	15	Peek Texfab Ltd.	100,120	0.26	120	0.12	0.00
8	16	Prefect Finmen Services (P) Ltd.	412,800	1.06	262,800	63.66	0.67
9	17	Roseat Finvest (P) Ltd.	382,000	0.98	382,000	100.00	0.98
10	18	Saurabh Floriculture (Pvt) Ltd.	183,800	0.47	183,800	100.00	0.47
11	19	Supreme Finvest (Pvt) Ltd.	290,000	0.74	140,000	48.28	0.36
		Total (B)	5,679,990	14.52	5,179,990	91.20	13.24
(Promoter Foreign Body Corporate)							
1	20	Billsworth Investments Pvt. Ltd., Singapore	2,000,000	5.11	-	-	-
		Total (C)	2,000,000	5.11	-	-	-
		Grand Total (A+B+C)	10,586,018	27.07	7,586,018	71.66	19.39

(l) (c) Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares as on September 30, 2011.

SL. NO.	Name of the Shareholder	No. of Shares	Shares as a %age of total number of shares {I.e. Grand Total A+B+C indicated in statement at para (l) (a) above}
A.	FI (GOVT. SPONSERED FI)		
1	GENERAL INSURANCE CORPORATION OF INDIA	1,000,000	2.56
2	UNITED INDIA INSURANCE COMPANY LTD.	1,028,738	2.63
	TOTAL	2,028,738	5.19
B.	FOREIGN INSTITUTIONAL INVESTORS		
1	ALBULA INVESTMENT FUND LTD.	1,800,000	4.60
2	CRESTA FUND LTD.	1,800,000	4.60
	TOTAL	3,600,000	9.20
	GRAND TOTAL	5,628,738	14.39

(l) (d) Details of locked-in shares as on September 30, 2011

SL. NO.	Name of the Shareholder	Category of Shareholder (Promoters/Public)	No. of locked-in shares	Locked-in Shares as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (l) (a) above}	Locked-in Period	
					To	From
		-----NIL-----				
TOTAL						

(II) (a) Details of Depository Receipts (DRs) as on September 30, 2011

SL. NO.	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares (i.e. Grand Total A+B+C indicated in statement at para (I) (a) above)
-----NIL-----				

(II) (b) Statement Showing Holding of Depository Receipts (DRs), where underlying shares held by 'promoter/promoter group' are in excess of 1% of the total number of shares as on September 30, 2011

SL. NO.	Name of the DR holder	Type of outstanding DR (ADRs, GDRs, SDRs etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a %age of total number of shares {i.e. Grand Total A+B+C indicated in statement at para (I) (a) above}
-----NIL-----				

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer as on September 30, 2011

Category Code	Category of Shareholder	Number of Voting Rights held in each class of securities			Total Voting Rights (III+IV+V)	Total Voting Rights i.e. (VI)	
		Class Equity	Class Y	Class Z		Percentage of (A+B) ¹	Percentage of (A+B+C)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter and Promoter Group						
(1)	Indian						
	a. Individuals / Hindu Undivided Family (HUF)	2,906,028	-	-	2,906,028	7.43	7.43
	b. Central Government / State Government	-	-	-	-	-	-
	c. Bodies Corporate	5,679,990	-	-	5,679,990	14.52	14.52
	d. Financial Institutions / Banks	-	-	-	-	-	-
	e. Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (1)	8,586,018	-	-	8,586,018	21.95	21.95
(2)	Foreign						
	a. Individuals (Non-Resident Individuals/Foreign Individuals)	-	-	-	-	-	-
	b. Bodies Corporate	2,000,000	-	-	2,000,000	5.11	5.11
	c. Institutions	-	-	-	-	-	-
	d. Any Other (Specify)	-	-	-	-	-	-
	Sub - Total A (2)	2,000,000	-	-	2,000,000	5.11	5.11
	Total Shareholding of promoter and promoter group (A)=A(1)+A(2)	10,586,018	-	-	10,586,018	27.06	27.06
(B)	Public shareholding ³						
(1)	Institutions						
	a. Mutual Funds/ UTI	400	-	-	400	0.00	0.00
	b. Financial Institutions / Banks	200	-	-	200	0.00	0.00
	c. Central Government/State Government(s)	200	-	-	200	0.00	0.00
	d. Venture Capital Funds	-	-	-	-	-	-
	e. Insurance Companies (FI Govt. Sponsored)	2,028,738	-	-	2,028,738	5.19	5.19
	f. Foreign Institutional Investors (FIIs)	3,600,000	-	-	3,600,000	9.20	9.20
	g. Foreign Venture Capital Investors	-	-	-	-	-	-
	h. Any other (specify)	-	-	-	-	-	-
	Sub - Total B (1)	5,629,538	-	-	5,629,538	14.39	14.39
(2)	Non-Institutions						
	a. Body Corporate	3,037,641	-	-	3,037,641	7.77	7.77
	b. Individuals - (i) Individual shareholders holding nominal share capital up to Rs. 1 lakh.	14,007,498	-	-	14,007,498	35.81	35.81
	(ii) Individual shareholders holding nominal share capital excess to Rs. 1 lakh.	5,198,768	-	-	5,198,768	13.29	13.29
	d. Any Other (NRI Repatriable)	608,191	-	-	608,191	1.55	1.55
	(NRI Non Repatriable) &	46,446	-	-	46,446	0.12	0.12
	Sub - Total B (2)	22,898,544	-	-	22,898,544	58.54	58.54
	Total Public Shareholding (B) = B(1)+B(2)	28,528,082	-	-	28,528,082	72.94	72.93
(C)	Shares held by custodians and against which depository receipts have been issued.						
	1. Promoter & Promoter Group	-	-	-	-	-	-
	2. Public	-	-	-	-	-	-
	Sub - Total (C)	-	-	-	-	-	-
	Grand Total (A+B)	39,114,100	-	-	39,114,100	100.00	100.00